

FY 2001 Enforcing the Underage Drinking Laws Discretionary Program (formerly titled Combating Underage Drinking Program)

Purpose

To reduce the availability of alcoholic beverages to minors and the consumption of alcoholic beverages by minors.

Background

In fiscal year (FY) 1998, Congress called on the Office of Juvenile Justice and Delinquency Prevention (OJJDP) to address the growing problem of underage drinking. Through the Appropriations Act for the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies, funds were appropriated to support and enhance efforts by States, in cooperation with local jurisdictions, to prohibit the sale of alcoholic beverages to—or the consumption of alcoholic beverages by—minors (persons under age 21). Under the Combating Underage Drinking program (as the program was originally named), activities to be funded could include:

- C Statewide task forces of State and local law enforcement and prosecutorial agencies to target establishments suspected of a pattern of violations of State laws governing the sale and consumption of alcohol by minors.
- C Public advertising programs to educate establishments about statutory prohibitions and sanctions.
- C Innovative programs to prevent and combat underage drinking.

In FY 1998, block grants of \$360,000 were made available to all States and the District of Columbia to build these types of activities into a State strategy. In addition, \$5 million was made available for discretionary awards, including funding for a national evaluation of the initiative, and \$1,640,000 was made available for training and technical assistance to support the programs.

In FY 1999, Congress changed the program name from Combating Underage Drinking to Enforcing the Underage Drinking Laws (EUDL) and made block grant funding of \$360,000 available to each State and the District of Columbia. In addition, \$6,640,000 was made available for discretionary awards to support local program activity within States and territories, training and technical assistance to the States, and evaluation of the overall program.

In FY 2000, Congress again appropriated \$25 million for the EUDL program. A block grant of

\$360,000 was made available to each State and the District of Columbia. Also, \$6,640,000 was made available for discretionary awards to support local program activity within States and territories, training and technical assistance to the States, and evaluation of the overall program.

The need to curtail illegal sale of alcohol to minors and minors' consumption of alcohol is evidenced by the fact that in addition to motor vehicle fatalities, the use of alcohol by minors (persons under age 21) has been shown to play a part in teen arrests, rapes, murders, thefts, and suicides. Alcohol consumption also plays a part in academic failure, both in high school and college, and it plays a significant role in health and dependency issues for young people. A clear relationship exists between alcohol use and grades among college students: students with grades of D or F drink three times as much as those who earn A's. According to a National Institute on Alcohol Abuse and Alcoholism report released in January 1998, young people who began drinking before age 15 are four times more likely to develop alcohol dependency than those who began drinking at age 21 (Grant and Dawson, 1998). Clearly, delaying the age of onset of alcohol use improves the chances that young people will be safe, healthy, and law abiding.

Underage use of alcohol is widespread and often heavy. Although OJJDP reports a 20-percent decline in juvenile arrests for drunkenness and other alcohol related offenses from 1990 to 1999 (Snyder, 2000), the Monitoring the Future (MTF)¹ study continues to report widespread alcohol use among youth. The 2000 MTF survey found that past year use of alcohol was 43.1 percent among 8th graders, 65.3 percent among 10th graders, and 73.2 percent among 12th graders. The survey also found that in 2000, 30.0 percent of 12th graders, 26.2 percent of 10th graders, and 14.1 percent of 8th graders reported binge drinking, defined as five or more drinks in a row at least once in the prior 2-week period.(U.S. Department of Health and Human Services, 2000).

With the youth population predicted to grow at a steady rate, the prospect of more youth and more youth drinking has serious implications for the health care, juvenile justice, criminal justice, and education systems. The cost to taxpayers will run into the billions of dollars if underage drinking is allowed to increase unchecked.

Many organizations have raised awareness about underage drinking and initiated programs to address it at the local level. Organizations like Students Against Destructive Decisions (SADD) and Mothers Against Drunk Driving (MADD) have promoted the importance and power of student leadership on the issues. Schools across the country have incorporated no-drinking messages throughout their curriculums and in extracurricular activities. Law enforcement agencies have targeted both buyers and

¹The Monitoring the Future Survey, conducted by the University of Michigan's Institute for Social Research and funded by the National Institute on Drug Abuse at the National Institutes of Health, has tracked 12th graders' illicit drug use and attitudes toward drugs since 1975. In 1991, 8th and 10th graders were added to the survey.

sellers. “Cops in Shops” programs have used undercover police posing as clerks to apprehend underage buyers. Compliance checks, using underage decoys, have targeted retail establishments that sell alcoholic beverages to those under age. Multijurisdictional approaches, such as “party patrols,” have been used to address the problems associated with large numbers of underage drinkers. Some State and local governments have enacted “keg registration” laws to identify the purchasers of beer kegs confiscated at underage drinking parties. A comprehensive approach is an effective method of generating community support, raising awareness, and increasing enforcement—all mutually supportive.

FY 2001 EUDL Discretionary Program—Building on and Enhancing EUDL Progress

Since 1998, the EUDL initiative has been launched and supported in each State, the District of Columbia, two territories, and Indian country. With block grant funding available to enhance State-level infrastructure for the EUDL initiative, discretionary grant funding has been directed to support initiating the EUDL program in local jurisdictions. Through a competitive process, 22 States have been awarded discretionary awards to implement the EUDL program at the local level. As a result, those States receiving discretionary awards have provided subgrants to approximately 160 local jurisdictions to implement the EUDL program. An extensive national training and technical assistance program has been launched that supports the initiative with issue-focused publications, training workshops, regional meetings, a national conference, and ongoing training and technical assistance teleconferences. A national evaluation is under way to determine what State and local programmatic activities are being supported by the EUDL initiative and the impact of EUDL programming in a sample of local communities.

The States and the District of Columbia have increased enforcement capacity through State-level coalitions. Various compliance and deterrence approaches are designed to hold violators accountable and to alter the public’s perception. Also, youth have been involved in the planning and implementation of the EUDL program at both the State and local levels. In addition, the EUDL initiative has resulted in increased coordination at State and local levels. Program activities are based on evolving strategic plans that take into account priority needs informed by a variety of assessments. Each State’s Governor-designated agency has approximately 3 years’ experience in implementing the EUDL initiative.

This program announcement concerns discretionary awards available to States, the District of Columbia, and territories in FY 2001. All States, the District of Columbia, and territories are invited to apply. As part of their application, all State applicants must document their EUDL program progress over the past year in the following areas: (1) coordination, (2) increased ability to provide enforcement, (3) involvement of youth leadership in EUDL planning and implementation, and (4) implementation of a strategic plan based on a community needs assessment. The application for FY 2001 discretionary funds must describe the programmatic gaps and identify needed enhancements to strengthen the comprehensive community program and increase its effectiveness. Selection of applications for award will be based on the degree of progress and achievement the applicant has made in establishing the

EUDL program and the plan presented for enhancing and sustaining that work.

THIS SOLICITATION FOR APPLICATIONS IS DIVIDED INTO TWO CATEGORIES.

CATEGORY I applies to the States and the District of Columbia. Territories are invited to apply as directed in **CATEGORY II**.

Category I: State Discretionary Grants

Goals

To reduce underage drinking by expanding the number of communities taking a comprehensive approach to the problem, with a special emphasis on increasing law enforcement activity with regard to the sale of alcohol to minors.

To develop and implement strategies that coordinate State-level planning and program activity (OJJDP block grant funds of \$360,000) with community program activities developed under this effort.

Objectives

- C Enhance an underage drinking coalition in each comprehensive community program, with a special emphasis on increasing law enforcement participation.
- C Ensure that there is a local EUDL program coordinator in each selected community.
- C Develop a strategic plan to direct EUDL program activity in each comprehensive community program based on a local needs assessment of underage drinking, with a special emphasis on enforcement activity regarding the sale of alcohol to minors.
- C Increase enforcement commitment, involvement, and capacity as an integral part of the program to enforce underage drinking laws, with a special emphasis on enforcement activity with regard to the sale of alcohol to minors.
- C Encourage youth leadership and participation in planning and program activities at the State and local levels.
- C Demonstrate coordination between State-level planning and program activity (OJJDP block grant funds of \$360,000) and community program activities developed under this effort.
- C Develop a training and technical assistance strategy, in collaboration with the OJJDP-funded

training and technical assistance, in support of the EUDL initiative in the selected jurisdictions.

- C Develop and implement a data collection strategy, in collaboration with the OJJDP-funded evaluation, to determine the effectiveness of the efforts of both State and local jurisdictions to combat underage drinking.

Program Strategy

In support of the Enforcing the Underage Drinking Laws program, OJJDP will provide awards to States that have launched a comprehensive community-level program with an emphasis on enforcing the underage drinking laws and an award to the District of Columbia, if selected, for comprehensive community programming with an enforcement emphasis in its jurisdiction. Local comprehensive programs must include (1) a community coalition; (2) current needs assessment and strategic plan; (3) a special emphasis on increased enforcement efforts that includes enforcement activity with regard to the sale of alcohol to minors; (4) review and recommendation for improvement of existing policies, regulations, and laws; (5) prevention and awareness efforts; and (6) documentation of accomplishments and the process by which they were achieved.

- C Successful State applicants must select and award a minimum of two subgrants to diverse local communities. These communities should be implementing or have plans to implement sound, substantive strategies that include effective program activities that engage citizens and decisionmakers from diverse political, social, and economic backgrounds. Selected communities should be able to describe how such individuals have been and will be recruited for program involvement.
- C State applicants must describe how the State is coordinating local comprehensive community program activities with State-level activities supported by the Enforcing the Underage Drinking Laws program funds awarded to each State and the District of Columbia.

Initiating Community Programs

In describing a minimum of two communities selected for subgrant awards, the successful applicant must include (1) an assessment of each community's capacity to sustain a comprehensive approach, (2) selection criteria that include diversity of location (i.e., rural, suburban, urban), (3) selection criteria that may include other diversity components (e.g., Native American tribes, college communities, etc.), and (4) baseline data for each community (see "Selection Criteria" below). The purpose of the awards is to ensure that the following steps are carried out:

- C **Appoint a local coordinator within each selected community** who will be responsible for developing and maintaining a broad-based task force that will complete a needs assessment and

strategic plan; working with the State task force (where applicable) to acquire information, training, and technical assistance support for the local effort; and collecting local data to document program accomplishments.

- C **Increase enforcement activity** as demonstrated by an increase in (1) citations for licensed liquor establishment violations of sales to minors, (2) youth citations that would evidence enforcement activity directed at the underage purchaser, (3) enforcement training and technical assistance, and (4) new collaborative efforts with community members that heighten public awareness and increase enforcement effectiveness.
- C **Develop youth leadership and involvement** that will result in direct participation in community task force work, implementation of specific activities that will increase effectiveness of the local program, and development of new partnerships between youth and organizations, such as enforcement agencies, for the purpose of information sharing and implementation of appropriate joint projects.
- C **Meet community needs** by developing and implementing a strategic plan based on a needs assessment and identifying effective approaches that address those needs and that will result in decreased access to alcohol by minors. NOTE: Local sites are advised to consider the most effective strategies to prevent underage alcohol use and related problems when determining plans for their local programs. Information concerning such strategies may be obtained in two publications produced by the Pacific Institute for Research and Evaluation (PIRE): *Strategies to Reduce Underage Alcohol Use: Typology and Brief Overview* and *Environmental Strategies to Prevent Alcohol Problems on College Campuses*. These publications may be accessed online at www.pire.org/udetc/publications.htm.

OJJDP will require the successful applicant to ensure implementation of planned comprehensive local programs based on community needs. The successful applicant and the communities will implement evaluation strategies that will document changes in the way the State and communities deal with the underage drinking issue (e.g., changes in policies, regulations, procedures, funding, or organizational structures) and the level of enforcement activities. The successful State applicant should also explain how the State task force will coordinate with and provide technical assistance in support of local community efforts across the State.

These program and evaluation strategy requirements must also be met by the District of Columbia, if selected for award.

Products

Products will include but are not limited to:

- C Training and technical assistance plans for selected jurisdictions.
- C State/District of Columbia and community strategic plans.
- C A Final Report that includes results of the 2-year effort and a sustainment plan.

Eligibility Requirements

Only the State/District of Columbia agencies currently designated as responsible for administration of the \$360,000 block grant under the Enforcing the Underage Drinking Laws program may apply. Applicants must include letters from key leaders of the selected communities that evidence their knowledge of the program and commitment to be active participants in its development and implementation. Letters of commitment from local law enforcement agencies should be included. Priority will be given to States that have not previously received discretionary EUDL funding.

Project Requirements and Review Criteria

Applications submitted will be reviewed for technical merit in accordance with specific review procedures established for the EUDL program. Applicants that meet all eligibility criteria and submission requirements will be evaluated and rated according to the criteria outlined below by a peer review panel.

Peer review recommendations will provide guidance to the OJJDP Administrator in selecting projects for awards. In addition, peer review recommendations will be used to assess applicants' need for technical assistance in developing, implementing, and evaluating their proposed EUDL strategies.

Selection Criteria

Applicants will be evaluated and rated by a peer review panel according to the criteria outlined below.

Problem(s) To Be Addressed (20 points)

- C Description of current underage drinking laws and relevant statutes and their degree of effectiveness and identification of existing problems at the State/District of Columbia and local levels, including:
 - (1) Extent of underage use of alcoholic beverages.

- (2) Extent of illegal sale or provision of alcoholic beverages to minors and associated penalties.
- (3) Extent of criminal or health-related consequences of underage drinking, including motor vehicle crashes.
- (4) Weaknesses in the laws, regulations, or policies.
- (5) Lack of awareness or enforcement of the laws.
- (6) Barriers to more effective enforcement.

NOTE: If certain data are not accessible, indicate which data cannot be accessed and why. Also describe what technical assistance would help address the lack of certain data.

- C EUDL Program Achievements to Date: All State applicants must document their EUDL program progress in addressing local underage drinking problems in the areas of **coordination of EUDL activity at the local and State levels, increased ability to provide enforcement, involvement of youth leadership, and implementation of a strategic plan based on a community needs assessment.** Documentation of progress should include concrete programmatic examples. It is important that the applicant clearly state its EUDL progress—both process and outcome—in order to inform reviewers of the applicant’s progress in addressing underage drinking problems and enforcement of underage drinking laws under the EUDL initiative. (NOTE: Description of the degree of progress and achievement the applicant has made in establishing its EUDL program to date and the program design presented for building on that work will be major elements of a successful application.)
- C The extent to which gaps in services and resources still exist, the magnitude of those gaps and weaknesses, and the extent to which the community is ready to improve current conditions.

Goals and Objectives (15 points)

In assessing the goals and objectives of the proposed strategy, the following factors are considered:

- C The extent to which the goals and objectives for the proposed strategy are clearly defined, measurable, and attainable.
- C The extent to which the proposed strategy will meet the established goals and objectives of the EUDL program as stated above.

Project Design (30 Points)

State Applicants

EUDL FY 2001 Program Design: The applicant must identify which communities have been selected to implement a comprehensive approach to reduce underage drinking and provide the criteria by which they were selected, including how diversity of sites was achieved (e.g., Native American, urban-rural, socioeconomic, geographic, college community, etc.). The applicant must indicate the steps that are being taken to accomplish the goals of the project and clearly describe how they will lead to the project goals.

The following must be included in the project design:

- (1) Identification and description of a minimum of two selected communities (subgrant program), including funding available to each site and eligible activities for grant funds (within OJJDP guidelines), local support if expected by State (e.g., matching funds, contributed staff, or other resources), and a timeline for local activities.
- (2) Description of minimum elements and activities each site is conducting and ways in which they will be enhanced, such as a paid coordinator, multiagency/nonprofit organizational task force (new or existing), strategic plan, justice system involvement, enforcement activities, youth involvement, server training, and prevention/awareness activities.
- (3) Description of data gathering requirements for communities including tracking of baseline statistics provided in this application, other enforcement activities, environmental status (policies, procedures, regulations, etc.), and measures of lack of awareness of the laws.

The applicant should provide a baseline assessment based on the most recent available data, pertaining to youth under the age of 21, from each of the selected communities that includes:

- (a) The number of licensed retail establishments, by type, that sell alcoholic beverages (i.e., bars/private clubs, package stores, grocery stores, convenience stores, other).
- (b) The number of licensed establishments cited for sales to youth, by type.
- (c) The number of underage youth cited for possession or consumption of alcoholic beverages.
- (d) The number of youth cited for possession or use of false identification.
- (e) The number of youth arrested for drinking and driving, including zero tolerance violations.

- (f) The number of youth that died in alcohol-related motor vehicle crashes.
- (g) If a selected community has checked retail establishments for compliance, provide number of establishments checked, dates, and percentage found not in compliance.

NOTE: If certain data are not accessible, indicate which data cannot be accessed and why. Also describe what technical assistance would help address the lack of certain data.

- (4) Description of how youth are being incorporated into decisionmaking and implementation activities and how their work will be expanded and enhanced.
- (5) Description of how innovation is defined and encouraged.

District of Columbia Applicant

The applicant must indicate clearly the steps that will be taken to accomplish the goals of the project and clearly describe how the steps will lead to the project goals.

In addition to items 2-5 listed above, the project design in a District of Columbia application must include a description of minimum elements and activities that are being initiated at the neighborhood level and ways in which they will be enhanced, such as justice system involvement, enforcement activities, youth involvement, server training, and prevention/awareness activities.

Both State and District of Columbia Applicants

The following must be included in the project design:

- (1) Description of how training of State, District of Columbia, and local personnel is being accomplished in conjunction with OJJDP-developed training and how the training will be enhanced. (See the “Budget” section under “Selection Criteria” for discussion of fiscal requirements.)
- (2) Description of how the program is being evaluated at the State, District of Columbia, and local levels and how the evaluation will be enhanced, including the extent to which the evaluation plan provides information for increasing the effectiveness of management and administration of the program, documents that objectives have been met, and determines the overall effectiveness of the program.
- (3) Description of how plans will be developed for replicating successful community efforts and maintaining momentum of successful programs.

- (4) A time/task plan with the application. This time/task plan should indicate what, when, and by whom actions will be taken and products delivered to OJJDP (see “Products” section). Timely completion of the community needs assessment and strategic plan will be critical in demonstrating results within the grant period. OJJDP regulations require a narrative progress report at least every 6 months (due in January and July). The timeline should include these reports as deliverables. Also, the applicant should include sufficient time for final products to be reviewed and revised.

Management and Organizational Capability (25 points)

- C Applicants must describe how the applicant agency is structured and who will carry out the project design and how. Specifically, the application must indicate all principal individuals and their positions in the project management design, their time commitment, major tasks, and milestones.
- C Applicants must also describe what State and local initiatives are under way or have recently been attempted to address underage drinking, including task force building and accomplishments. Applicants should also explain how the State or the District of Columbia has used the \$360,000 block grant made available under this program to (1) engage a task force with relevant State or District of Columbia agencies, organizations (private and nonprofit), and community coalition representation; (2) develop a strategic plan that emphasizes statewide enforcement of alcoholic beverage control laws; (3) designate a State coordinator; (4) involve youth; or (5) initiate other activities to improve the State infrastructure for addressing illegal sale and underage consumption of alcoholic beverages. Applicants should describe how these efforts have linked to, and coordinated with, the locally focused discretionary program, if one has been established.

Budget (10 Points)

- C Applicants must provide a proposed budget that is complete, detailed, reasonable, allowable, and cost effective in relation to the activities to be undertaken. Up to 5 percent of discretionary award funds may be used for planning and administration costs. Since the majority of discretionary funds are to be subgranted to the local level, the budget must reflect how State administration, training, and travel costs will be augmented by funds from the \$360,000 block grant and/or other sources, if necessary. In addition, subgrant budgets must include enforcement activities.

Although not required, key program components may be supported by matching funds (i.e., enforcement activities, youth involvement in program planning and implementation, coalition building, or support of local coordinator salaries). The program narrative of the application, however, must be augmented with a detailed budget and narrative for the matching funds that

describe how such funds will be utilized in a manner consistent with the goals and objectives of the EUDL program and integrated into the comprehensive approach.

Budget information must be presented on the Budget Detail Worksheet forms provided in the *OJJDP Application Kit*, which is available online at www.ojjdp.ncjrs.org/grants/2000_app_kit/index.html. Please keep in mind that the majority of the discretionary funds are to be subgranted to the selected sites; therefore, it is anticipated that most of the application budget will be reflected in the “Part G. Consultants/ Contracts” section. Since each proposed subgrant budget must be presented in the same format with itemization required by the Budget Detail Worksheet, the subgrant budgets may be attached to the Budget Detail Worksheet forms.

Please note that appropriate community and State representatives will take part in program-related OJJDP training and technical assistance events at the State, regional, or national levels. Therefore, it is recommended that the budget include estimated travel and per diem costs (if other sources of funding are to be used for this purpose, please describe). Program representatives should anticipate attending up to four such events over the 2-year period (two per year).

Category II: The Commonwealth of Puerto Rico, the U.S. Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands

Goal

To reduce underage drinking by expanding the number of territories taking a comprehensive approach to the problem, with a special emphasis on increasing law enforcement activity with regard to the sale of alcohol to minors.

Objectives

- C Establish or enhance comprehensive community programs that deal with underage drinking, with a special emphasis on increasing law enforcement activity with regard to the sale of alcohol to minors.
- C Develop and implement a data collection strategy, in collaboration with an OJJDP national plan, to determine effectiveness of territorial efforts to combat underage drinking.
- C Encourage youth leadership and participation in planning and program activities.

Program Strategy

Initiating Community Programs

Comprehensive community programs will include task forces; current needs assessment and strategic plan; a special emphasis on increased enforcement efforts; review and improvement of policies, regulations, and laws; prevention and awareness efforts; and documentation of accomplishments and the process by which they were achieved.

Elements of a comprehensive program should include:

- C A **coordinator** who will, at a minimum, be responsible for developing and maintaining a broad-based task force that will complete a needs assessment and strategic plan and for collecting local data to document program accomplishments.
- C **Increased enforcement**, based on a territorial needs assessment, that will result in increased citations for licensed liquor establishment violations of sales to minors, increased youth citation rates that demonstrate enforcement activity directed at the underage purchaser, added training and technical assistance for law enforcement, and new collaboration efforts with community members that raise public awareness and increase effectiveness of enforcement efforts.
- C **Development of youth leadership and involvement** that will result in their direct participation in the comprehensive program.
- C **Meeting of territorial needs** by developing and implementing a strategic plan that includes a needs assessment and effective approaches that will meet those needs and result in decreased access to alcohol by minors.

The grantee must document changes in the way territories deal with the underage drinking issue (e.g., changes in policies, regulations, procedures, funding, or organizational structures) and the level of enforcement activities.

Products

Products will include but are not limited to:

- C Strategic plan.
- C Final report that includes activities, outcomes, and a self-sustainment plan.

Eligibility Requirements

Only the agency that has been designated by the territorial chief executive as the lead for this program may apply. (Applicants must include certification of designation with the application.) At a minimum, the designated agency should coordinate and collaborate with the agency administering the Part B Formula Grants program under the Juvenile Justice and Delinquency Prevention (JJDP) Act. Applicants must include letters from key leaders of the selected communities that evidence their knowledge of the program and commitment to be active participants in its development and implementation. Letters of commitment from local law enforcement agencies should be included.

All territories are eligible to apply; however, priority will be given to territories that have not previously received discretionary EUDL funding. Any territory applicant previously receiving EUDL funds must describe what the successes and challenges of previous EUDL work have been, how the challenges have been overcome, and how the proposal will build upon and expand program efforts to date.

Selection Criteria

Applicants will be evaluated and rated by a peer review panel according to the criteria outlined below.

Problem(s) To Be Addressed (20 points)

Description of current underage drinking laws and relevant statutes, degree of effectiveness, and identification of existing problems including:

- (1) Extent of underage use of alcoholic beverages.
- (2) Extent of illegal sale or provision of alcoholic beverages to minors and associated penalties.
- (3) Extent of criminal or health-related consequences of underage drinking, including motor vehicle crashes.
- (4) Weaknesses in the laws, regulations, or policies.
- (5) Lack of awareness or enforcement of the laws.
- (6) Barriers to more effective enforcement.

NOTE: If certain data are not accessible, indicate which data cannot be accessed and why. Also describe what technical assistance would help address the lack of certain data.

The applicant should provide a baseline assessment based on the most recent available data, pertaining to youth under the age of 21, including, at a minimum:

- (1) The number of licensed retail establishments, by type, that sell alcoholic beverages (i.e., bars/private clubs, package stores, grocery stores, convenience stores, other).
- (2) The number of licensed establishments cited for sales to youth, by type.
- (3) The number of underage youth cited for possession or consumption of alcoholic beverages.
- (4) The number of youth cited for possession or use of false identification.
- (5) The number of youth arrested for drinking and driving, including zero tolerance violations.
- (6) The number of youth that died in alcohol-related motor vehicle crashes.
- (7) If retail establishments have been checked for compliance, provide number of establishments checked, dates, and percentage found not in compliance.

NOTE: If certain data are not accessible, indicate which data cannot be accessed and why. Also describe what technical assistance would help address the lack of certain data.

Goals and Objectives (15 points)

In assessing the goals and objectives of the proposed strategy, the following factors are considered:

- C The extent to which the goals and objectives for the proposed strategy are clearly defined, measurable, and attainable.
- C The extent to which the proposed strategy will meet the established goals and objectives of the EUDL program as stated above.

Project Design (30 points)

The applicant must indicate clearly the steps that will be taken to accomplish the goals of the project and clearly describe how the steps will lead to the project goals.

The following must be included in the project design:

- (1) Description of minimum elements and activities planned, such as a coordinator, multiagency/nonprofit organizational task force (new or existing), strategic plan, justice system involvement, enforcement activities, youth involvement, server training, and prevention/awareness activities.

- (2) Description of data-gathering plans including baseline statistics, environmental status (policies, procedures, regulations, etc.), and enforcement activities.
- (3) Description of how youth will be incorporated into decisionmaking and implementation activities.
- (4) Description of how innovation will be defined and encouraged.
- (5) Description of how the success of the program will be documented.
- (6) A time/task plan indicating what, when, and by whom actions will be taken and products delivered to OJJDP (see “Products” section above). Timely completion of territorial needs assessments and strategic plans will be critical in demonstrating results within the grant period. OJJDP regulations require a narrative progress report at least every 6 months (due in January and July). The time/task plan should include these reports as deliverables. Also, the applicant should include sufficient time for final products to be reviewed and revised.

Management and Organizational Capability (25 points)

- C Description of how the applicant agency is structured and who will carry out the project design and how. Specifically, the application must indicate all principal individuals and their positions in the project management design, their time commitment, major tasks, and milestones.
- C Description of what territorial initiatives are under way or have recently been attempted to address underage drinking, including task force building and accomplishments with a special emphasis on law enforcement strategies directed at enforcement of alcohol beverage control laws.

Budget (10 points)

- C Applicants must provide a proposed budget that is complete, detailed, reasonable, allowable, and cost effective in relation to the activities to be undertaken. **Up to 5 percent** of award funds may be utilized for planning and administrative costs. Budgets must include enforcement activities.

General Information for All Applicants

Format

The narrative must not exceed 35 pages (excluding forms, assurances, and appendixes) and must be submitted on 8½- by 11-inch paper, DOUBLE SPACED on one side of the paper in a 12-POINT FONT. These standards are necessary to maintain fair and uniform standards among all applicants. If the narrative does not conform to these standards, OJJDP will deem the application ineligible for consideration.

Award Period

This project will be funded for a 2-year budget and project period.

Award Amount

Up to \$3.2 million is available under this 2-year budget and project period. Up to eight successful State applicants may receive up to \$400,000 each to distribute to a minimum of two diverse sites. If selected, the District of Columbia will receive up to \$100,000. If selected, up to two territories will receive up to \$50,000 each.

Funds may not be used to supplant State, District of Columbia, or local funds that are being used to fund projects and activities designated for support under the Enforcing the Underage Drinking Laws program.

Privacy Certificate

Office of Justice Programs regulations and policy require that all grantees receiving funds to conduct research or statistical activities that involve collecting data identifiable to a private person submit a Privacy Certificate in accordance with the requirements of 28 CFR Part 22 (specifically 28 CFR section 22.23). For details on submission requirements, see “Appendix B: Privacy Certificate Guidelines and Statement” in the *OJJDP Application Kit*, which is available online at www.ojjdp.ncjrs.org/grants/2000_app_kit/index.html. Appendix B may also be accessed at www.ojjdp.ncjrs.org/grants/2000_app_kit/appenb1.html.

Catalog of Federal Domestic Assistance (CFDA) Number

For this program, the CFDA number, which is required on Standard Form 424, Application for Federal Assistance, is 16.727. This form is included in the *OJJDP Application Kit*, which is available by calling 800-638-8736, sending an e-mail request to puborder@ncjrs.org, or accessing it online at www.ojjdp.ncjrs.org/grants/2000_app_kit/index.html.

Coordination of Federal Efforts

To encourage better coordination among Federal agencies in addressing State and local needs, the U.S. Department of Justice is requesting applicants to provide information on the following: (1) active Federal grant award(s) supporting this or related efforts, including awards from the U.S. Department of Justice; (2) any pending application(s) for Federal funds for this or related efforts; and (3) plans for coordinating any funds described in items (1) or (2) with the funding sought by this application. For each Federal award, applicants must include the program or project title, the Federal grantor agency, the amount of the award, and a brief description of its purpose.

The term “related efforts” is defined for these purposes as one of the following:

- C Efforts for the same purpose (i.e., the proposed award would supplement, expand, complement, or continue activities funded with other Federal grants).
- C Another phase or component of the same program or project (e.g., to implement a planning effort funded by other Federal funds or to provide a substance abuse treatment or education component within a criminal justice project).
- C Services of some kind (e.g., technical assistance, research, or evaluation) to the program or project described in the application.

Delivery Instructions

All application packages must be mailed or delivered to the Office of Juvenile Justice and Delinquency Prevention, c/o Juvenile Justice Resource Center, 2277 Research Boulevard, Rockville, MD 20850: 301-519-5535. **NOTE:** In the lower left-hand corner of the envelope, you must clearly write “Enforcing the Underage Drinking Laws Program, SED.”

Due Date

Applicants are responsible for ensuring that the original and five copies of the application package are received by 5 p.m. ET on Monday, May 21, 2001.

Contact for All Eligible Applicants

For further information concerning this solicitation, call Sharon Cantelon, Program Manager, Special Emphasis Division, at 202-307-5914 or send an e-mail inquiry to sharie@ojp.usdoj.gov.

For further information concerning the training and technical assistance component of the Enforcing the Underage Drinking Laws program, call Robert Hubbard, Program Manager, Training and Technical

Assistance Division, at 202-616-3567 or send an e-mail inquiry to hubbard@ojp.usdoj.gov.

For further information concerning funding to support establishment or expansion of State and District of Columbia infrastructure to support the Enforcing the Underage Drinking Laws program, call Ellen Shields-Fletcher, Program Manager, State and Tribal Assistance Division, at 202-616-3640 or send an e-mail inquiry to shieldse@ojp.usdoj.gov.

For further information concerning evaluation of the Enforcing the Underage Drinking Laws program, call Anne Bergan, Program Manager, Research and Program Development Division, at 202-514-5533 or send an e-mail inquiry to bergana@ojp.usdoj.gov.

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Attachment

The attached Illustrative Alcohol Sale to Minors and Underage Drinking Framework depicts the program components of a successful comprehensive community program to address the sale of alcoholic beverages to minors and consumption of alcoholic beverages by minors.