OMB No. 1121-0329



The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Office of Juvenile</u> <u>Justice and Delinquency Prevention</u> (OJJDP) is seeking applications for funding under its Fiscal Year (FY) 2013 National Girls Institute solicitation. This program furthers the Department's mission by developing and providing a range of training, technical assistance, and resources to state, tribal, community, and private organizations that serve at-risk and delinquent girls.

# **OJJDP FY 2013 National Girls Institute**

# Eligibility

OJJDP invites nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education) to apply. *For-profit organizations must agree to forgo any profit or management fee.* (See Eligibility, page 3.)

# Deadline

Applicants must register with <u>Grants.gov</u> prior to submitting an application. (See How To Apply, page 20.) All applications are due by 11:59 p.m. eastern time on May 30, 2013. (See Deadlines: Registration and Application, page 3.)

# **Contact Information**

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800-518-4726, 606-545-5035, or via e-mail to <a href="mailtosupport@grants.gov">support@grants.gov</a>. Hotline hours of operation are 24 hours a day, 7 days a week, except federal holidays.

For assistance with any other requirements of this solicitation, contact the Justice Information Center (JIC) at 1–877–927–5657, via e-mail to <u>JIC@telesishq.com</u>, or by <u>live Web chat</u>. JIC hours of operation are 8:30 a.m. to 5:00 p.m. eastern time, Monday through Friday, and 8:30 a.m. to 8:00 p.m. eastern time on the solicitation close date.

Grants.gov number assigned to this announcement: OJJDP-2013-3580

Release date: March 30, 2013

Overview	3
Deadlines: Registration and Application	3
Eligibility	3
Program-Specific Information	3
Performance Measures	9
Project Evaluations	11
Notice of Post-Award FFATA Reporting Requirement	12
What an Application Is Expected To Include	12
1. Information to Complete the Application for Federal Assistance (SF-424)	12
2. Abstract	13
3. Program Narrative	13
4. Budget Detail Worksheet and Budget Narrative	15
5. Indirect Cost Rate Agreement (if applicable)	16
6. Additional Attachments	16
7. Other Standard Forms	17
Selection Criteria	18
Review Process	18
Additional Requirements	19
How to Apply	20
Provide Feedback to OJP on This Solicitation	22
Application Checklist	23

## Contents

# OJJDP FY 2013 National Girls Institute (CFDA #16.540)

### Overview

Through this program, OJJDP seeks to support its National Girls Institute (NGI) to advance the understanding and application of promising and evidence-based prevention, intervention, treatment, and aftercare programs and services for at-risk and juvenile justice- involved girls. NGI accomplishes its mission through a broad range of activities, including training and technical assistance (TTA); information dissemination; collaboration with researchers and program developers; partnership with federal, state, and local agencies; policy development; and other leadership functions. This solicitation is authorized by Sections 221–223 of the Juvenile Justice and Delinquency Prevention Act (JJDP Act), 42 U.S.C. §§ 5631-5633.

# **Deadlines: Registration and Application**

Applicants must register with Grants.gov to submit an application. OJP encourages applicants to **register several weeks before** the application submission deadline. In addition, OJP urges applicants to submit applications at least **72 hours prior to the due date** of the application to allow time to receive the validation message and to correct any problems that may have caused a rejection notification. The deadline to apply for funding under this announcement is 11:59 p.m. eastern time on May 30, 2013. See How To Apply, page 20, for details.

# Eligibility

Eligible applicants are limited to nonprofit and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education). *For-profit organizations must agree to forgo any profit or management fee.* 

OJJDP welcomes joint applications from two or more eligible applicants; however, one applicant must be clearly indicated as the primary applicant (for correspondence, award, and management purposes) and the others indicated as coapplicants.

# **Program-Specific Information**

#### Purpose

According to the Report of the Attorney General's National Task Force on Children Exposed to Violence<sup>1</sup>, the differences between the profiles and service needs of girls and boys entering the juvenile justice system present a significant challenge to professionals who serve them. The challenges that girls often face as they enter the juvenile justice system include trauma, violence, neglect, mental and physical problems, family conflict, pregnancy, residential and academic instability, and school failure. The juvenile justice system only exacerbates these problems by failing to provide girls with services at the time when they need them most.

<sup>&</sup>lt;sup>1</sup> Report of the Attorney General's National Task Force on Children Exposed to Violence (<u>www.justice.gov/defendingchildhood/cev-rpt-full.pdf</u>)

Furthermore, most youth in the juvenile justice system are detained for nonviolent and status offenses. This is particularly true of girls, who are slightly more likely than boys to enter the system for minor offenses. Girls are also detained for longer periods than are their male counterparts when they commit the same crimes. In addition, girls who are pregnant or parenting within the juvenile justice system present their own set of needs. Girls involved in the juvenile justice system are more likely to become pregnant as a juvenile than their non-justice-involved peers are. Girls in the juvenile justice system are in critical need of programming, facilities, and staff that are gender-responsive.

NGI serves as OJJDP's principal national TTA provider and resource clearinghouse for promising and evidence-based practices in girls' delinquency prevention, intervention, and treatment. The successful applicant will translate the information learned through OJJDP's Girls Study Group<sup>2</sup>, the Report of the Attorney General's National Task Force on Children Exposed to Violence (www.justice.gov/defendingchildhood/cev-rpt-full.pdf), the Georgetown Center on Poverty, Inequality, and Public Policy's report Improving the Juvenile Justice System for Girls: Lessons from the States<sup>3</sup> and other resources in order to improve programs and practices that address the needs of at-risk and justice system involved girls.

Applicants must identify how they will implement the identified tasks, describe how they will ensure the timely delivery of requested support, and describe the cost efficiencies they will achieve in the management and staffing of the program.

The successful applicant will consult with OJJDP, who will provide guidance on training and technical assistance topics, publications, manuals, and other deliverables. OJJDP expects to modify tasks and specifications as the Office identifies emerging assistance needs, and the OJJDP program manager assigned to the award must approve all changes in the scope of work. The awardee must clearly identify OJJDP in all documents and materials produced for the purpose of this cooperative agreement as the federal agency responsible for said product.

The successful applicant shall provide all personnel, equipment, tools, materials, supervision, and other items and activities needed to perform the tasks, as defined. The awardee shall coordinate and/or perform all tasks described.

#### Goals, Objectives, and Deliverables

The goal of this cooperative agreement is to improve girls' delinquency programming and practices at the national, state, tribal and local levels to reduce the number of girls in the juvenile justice system and to improve the treatment of girls who are already in detention. OJJDP will

<sup>&</sup>lt;sup>2</sup> The OJJDP Girls' Study Group developed scientifically sound and useful guidance to understand female juvenile offending and to identify effective strategies for preventing and reducing female juvenile involvement in delinquency and violence. More information is available at //girlsstudygroup.rti.org/index.cfm?fuseaction=dsp\_home.

<sup>&</sup>lt;sup>3</sup> This report of the Georgetown Center on Poverty, Inequality, and Public Policy at Georgetown Law School (<u>www.law.georgetown.edu/academics/centers-institutes/poverty-</u>

<sup>&</sup>lt;u>inequality/upload/JDS\_V1R4\_Web\_Singles.pdf</u>) lays out gender-specific reforms for girls in the juvenile justice system. The report offers innovative solutions for federal and state governments and suggests strategies to adopt and implement critical improvements based on successful reforms in Connecticut, Florida and Stanislaus County, California.

expect the NGI, as the national TTA provider for girls' delinquency prevention, intervention, and treatment, to undertake a range of activities. Primary objectives include:

- developing and implementing multi-level training and technical assistance to improve the job performance of individuals and service delivery systems of states, tribes, communities, and organizations working with at-risk and delinquent girls and their families.
- developing and disseminating information, using different means, to advance understanding
  of the causes and correlates of girls' delinquency, provide guidance on how programs can
  improve their response to the needs of girls and their families, and improve policies and
  practices that may contribute to bringing girls further into the system.
- identifying information, resources, current practices, and policies that inadequately support the needs of at-risk and delinquent girls and preparing recommendations that address these gaps.
- facilitating communication and collaboration with other federal, state, tribal, national and community-based organizations that serve or are concerned about girls.

The activities outlined above should incorporate information acquired from research on the needs of girls and their families and from promising and evidence-based programming and practice. They should address the multiple levels of the system (schools, law enforcement, courts, probation, detention, corrections, and aftercare). Activities and materials should be gender-sensitive and culturally relevant.

OJJDP will expect the successful applicant to collaborate with OJJDP's <u>National Training and</u> <u>Technical Assistance Center</u>, other OJJDP TTA providers, and OJJDP's research and evaluation staff to coordinate efforts to provide services to states, tribes, communities, and service providers.

In support of this effort, the awardee shall be responsible for the following deliverables, as outlined below:

- develop resources and messaging about at-risk and system-involved girls for appropriate audiences in coordination with OJJDP and disseminate them on appropriate platforms.
- produce and promote resources and provide targeted technical assistance on the development of a data-driven system for girls in state, tribal, and local jurisdictions.
- produce and promote resources and provide targeted technical assistance for conducting evaluations of gender-specific programs.
- develop appropriate materials and technical assistance to implement Recommendation 6.4 of the Report of the Attorney General's National Task Force on Children Exposed to Violence (<u>www.justice.gov/defendingchildhood/cev-rpt-full.pdf</u>): Provide care and services to address the special circumstances and needs of girls in the juvenile justice system.
- support and develop gender-responsive services for girls healing from violence and other traumatic events, including sexual and physical abuse.

- promote resources from organizations and individuals with expertise in serving at-risk and justice-system involved girls on topics relevant to the field, such as standards of care for girls in detention, alternatives to incarceration, solitary confinement, Prison Rape Elimination Act, and others).
- disseminate information regarding system-involved girls via Webinars, conference presentations, policy papers, and other means for advocates and other appropriate audiences.
- develop and promote useful and relevant information for the field that translates research into practice.
- engage the National Center for Youth in Custody (//nc4yc.org/), other OJJDP TTA providers (See the OJJDP Provider Directory at <u>www.nttac.org/views/docs/NTTAC\_Catalog\_508\_C.pdf</u>), and other relevant organizations to develop and build collaborative efforts.
- serve as a clearinghouse of up-to-date, easily accessible information for girls, parents, and professionals who work directly with girls.
- conduct topic-specific roundtables of experts to inform the work of OJJDP, NGI, and the field; one of these roundtables should focus on the impact of, domestic violence on the entry of girls into the juvenile justice system.

The awardee will have a system to receive and track online requests for technical assistance and to provide information regarding upcoming conferences and training events and online access to OJJDP-produced materials. The awardee will ensure that all Web site postings comply with applicable federal regulations and OJJDP protocols.

- Plan for General Project Management and Monthly Reporting. The successful applicant will develop, implement, and submit a strategic plan that provides for efficient management of all tasks. The plan should detail, at a minimum, ongoing activities, including staffing structures, staff rates worked on the project, and other information critical to the operation of the cooperative agreement. The plan shall also include an approach for communicating regularly with OJJDP to discuss emerging priorities and assignments, status of products and deliverables, and strategies for overcoming obstacles to enhancing the awardees' responsiveness to OJJDP priorities. In addition, the plan should outline a process for monthly reporting of program task accomplishments and an accounting of expended funds. The successful applicant shall submit this strategic plan no later than 90 days after the start of the project period.
- **Special Projects.** OJJDP may require that the awardee conduct special projects or develop products in support of this cooperative agreement. For example, OJJDP may wish to convene a special working group to provide feedback and product development and review.

#### **Evidence-based Programs or Practices**

OJP places a strong emphasis on the use of data and evidence in policy making and program development in criminal justice. OJP is committed to:

- improving the quantity and quality of evidence OJP generates;
- integrating evidence into program, practice, and policy decisions within OJP and the field; and
- improving the translation of evidence into practice.

OJP considers programs and practices to be evidence-based when their effectiveness has been demonstrated by causal evidence, generally obtained through one or more outcome evaluations. Causal evidence documents a relationship between an activity or intervention (including technology) and its intended outcome, including measuring the direction and size of a change, and the extent to which a change may be attributed to the activity or intervention. Causal evidence depends on the use of scientific methods to rule out, to the extent possible, alternative explanations for the documented change. The strength of causal evidence, based on the factors described above, will influence the degree to which OJP considers a program or practice to be evidence-based. OJP's <u>CrimeSolutions.gov</u> and OJJDP's <u>Model Program Guide</u> Web sites are two resources that applicants may use to find information about evidence-based programs in criminal justice, juvenile justice, and crime victim services.

#### Amount and Length of Awards

OJJDP expects to make one cooperative agreement of as much as \$500,000 for a 12-month project period. Based on performance and the availability of funds, OJJDP will make awards for years two and three for as much as \$500,000 for each year. Applicants should submit a 3-year budget, although OJJDP will only award funding for year one. The total amount over the 3-year period may be up to \$1,500.000 million.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

In furtherance of the goals and objectives described above, OJJDP's role will include the following tasks:

- reviewing and approving major work plans, including changes to such plans, and key decisions pertaining to project operations.
- reviewing and approving major project-generated documents and materials used in the provision of project services.
- providing guidance in significant project planning meetings and participating in project sponsored training events or conferences.

#### **Budget Information**

**Limitation on Use of Award Funds for Employee Compensation, Waiver.** With respect to any award of more than \$250,000 made under this solicitation, recipients may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the award recipient at a rate that exceeds 110 percent of the maximum annual salary payable to a member of the Federal Government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year. The 2012 salary table for SES employees is

available at <u>www.opm.gov/oca/12tables/indexSES.asp</u>. Note: A recipient may compensate an employee at a greater rate, provided the amount in excess of this compensation limitation is paid with non-federal funds. (Any such additional compensation will not be considered matching funds where match requirements apply.)

The Assistant Attorney General for OJP may exercise discretion to waive, on an individual basis, the limitation on compensation rates allowable under an award. Applicants requesting a waiver should include a detailed justification in the budget narrative of their applications. Applicants who do not submit a waiver request and justification with their applications should anticipate that OJP will request them to adjust and resubmit their budgets.

The justification should include the particular qualifications and expertise of the individual, the uniqueness of the service the individual will provide, the individual's specific knowledge of the program or project being undertaken with award funds, and a statement explaining that the individual's salary is commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work to be done.

**Minimization of Conference Costs.** OJP encourages applicants to review the guidance on conference approval, planning, and reporting that is available on the OJP Web site at <u>www.ojp.gov/funding/confcost.htm.</u> This guidance sets out the current OJP policy, which requires all funding recipients who propose to hold or sponsor conferences (including meetings, trainings, and other similar events) to minimize costs, requires OJP review and prior written approval of most conference costs for cooperative agreement recipients (and certain costs for grant recipients), and generally prohibits the use of OJP funding to provide food and beverages at conferences. The guidance also sets upper limits on many conference costs, including facility space, audio/visual services, logistical planning services, programmatic planning services, and food and beverages (in the rare cases where food and beverage costs are permitted at all).

Prior review and approval of conference costs can take time (see the guidance for specific deadlines), and applicants should take this into account when submitting proposals. Applicants also should understand that conference cost limits may change and that they should check the guidance for updates before incurring such costs.

**Note on food and beverages.** OJP may make exceptions to the general prohibition on using OJP funding for food and beverages but will do so only in rare cases where food and beverages are not otherwise available (e.g., in extremely remote areas); the size of the event and capacity of nearby food and beverage vendors would make it impractical to not provide food and beverages; or a special presentation at a conference requires a plenary address where conference participants have no other time to obtain food and beverages. Any such exception requires OJP's prior written approval. The restriction on food and beverages does not apply to water provided at no cost, but does apply to any and all other refreshments, regardless of the size or nature of the meeting. Additionally, this restriction does not affect direct payment of per diem amounts to individuals in a travel status under your organization's travel policy.

**Costs Associated with Language Assistance (if applicable).** If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services where appropriate.

For additional information, see the "Civil Rights Compliance" section of the OJP "Other Requirements for OJP Applications" Web page at <a href="https://www.ojp.usdoj.gov/funding/other\_requirements.htm">www.ojp.usdoj.gov/funding/other\_requirements.htm</a>.

**Match Requirement.** This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

### **Performance Measures**

To assist the Department with fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, and the GPRA Modernization Act of 2010, Public Law 111–352, applicants that receive funding under this solicitation must provide data that measure the results of their work done under this solicitation. OJP will require any award recipient, post award, to provide the data requested in the "Data Grantee Provides" column so that OJP can calculate values for the "Performance Measures" column. OJJDP will require award recipients to submit semiannual performance metrics of relevant data through the Data Reporting Tool (DCTAT) located <u>www.ojjdp-dctat.org/</u>. Performance measures for this solicitation are as follows:

Objective	Performance Measure(s)	Description	Data Grantee Provides
to improve girls' delinquency programming and practices at the national, state, tribal and local levels	Percent of technical assistance requests completed.	This measure represents the number of TA requests received during the reporting period. Requests can come from individuals or organizations served.	Number of technical assistance requests received. Number of technical assistance requests completed.
through training and technical assistance	Number of program materials developed.	This measure represents the number of program materials that were developed during the reporting period. Include only substantive materials, such as program overviews, client workbooks, lists of local service providers. Do not include program advertisements or administrative forms such as sign-in sheets or client tracking forms. Count the number of pieces developed. Program records are the preferred data source.	Number of program materials developed.
	Number of planning or training events held.	This measure represents the number of planning or training activities held during the reporting period. Planning and training	Number of planning or training events held.

		<b>1</b>
	activities include creation of task forces or interagency committees, meetings held, needs assessments undertaken, etc. Preferred data source is program records.	
Number of people trained.	This measure represents the number of people trained during the reporting period. The number is the raw number of people receiving any formal training relevant to the program or their position as program staff. Include any training from any source or medium received during the reporting period as long as receipt of training can be verified. Training does not have to have been completed during the reporting period. Preferred data source is program records.	Number of people trained.
Percentage of people exhibiting increased knowledge of the program area.	This measure represents the number of people who exhibit an increased knowledge of the program area after participating in training. Use of pre and post tests is preferred.	Number of people exhibiting increased knowledge of the program area (determined by pre- and post- testing).
Percentage of organizations reporting improvements in operations based on training and technical assistance.	This measure represents the number of organizations who report improvements in operations. Use of pre and posttests is preferred.	Number of organizations that receive training and technical assistance. Number of organizations served by training and technical assistance that reported improvements in operations (determined by pre-post- testing).

OJP does not require applicants to submit performance measures data with their applications. Instead, applicants should discuss in their application their proposed methods for collecting data for performance measures. Refer to the section "What an Application Is Expected To Include" on page 12 for additional information.

### **Project Evaluations**

Applicants that propose to use funds awarded through this solicitation to conduct project evaluations or statistical data collections should be aware that these activities (such as systematic investigations to develop or contribute to generalizable knowledge) may constitute research, which is defined as follows:

**Research** means a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge. Activities that meet this definition constitute research for the purposes of this policy, whether or not they are conducted or supported under a program that is considered research for other purposes. For example, some demonstration and service programs may include research activities (28 C.F. R. § 46.102(d). The following information pertains to applications that propose to conduct research and involves human subjects:

DOJ regulations (28 C.F.R. Part 46) protect the human subjects of federally funded research. In brief, 28 C.F.R. Part 46 requires that an Institutional Review Board, in accordance with the regulations, review and approve most research involving human subjects that any federal department or agency conducts or supports before an award recipient may expend federal funds for that research. As a rule, persons who participate in federally funded research must provide their informed consent and must be permitted to terminate their participation at any time. Funding recipients, before they will be allowed to spend OJP funds on any research activity involving human subjects, must submit appropriate documentation to OJP showing compliance with 28 C.F.R. Part 46 requirements, as requested by OJP.

DOJ regulations (28 C.F.R. Part 22) require recipients of OJP funding to submit a Privacy Certificate as a condition of approval of any grant application or contract proposal that contains a research or statistical component under which information identifiable to a private person will be collected, analyzed, used, or disclosed. The funding recipient's Privacy Certificate includes a description of its policies and procedures to be followed to protect the confidentiality of identifiable data (28 C.F.R. § 22.23). The Department's regulations provide, among other matters, that: "Research or statistical information identifiable to a private person may be used only for research or statistical purposes (28 C.F.R. § 22.21)." Moreover, any private person from whom information identifiable to a private person is collected or obtained (either orally or by means of written questionnaire or other document) must be advised that the information will only be used or disclosed for research or statistical purposes and that compliance with the request for information is voluntary and may be terminated at any time (28 C.F.R. § 22.27).

OJP has developed a decision tree (<u>www.ojp.usdoj.gov/funding/pdfs/decision\_tree.pdf</u>) to assist applicants in assessing whether an activity they plan to undertake with OJP funds may constitute research involving human subjects. **Applicants should review this decision tree** and include a statement in their application narrative that clarifies if they intend to use any information from a project evaluation or data collection to contribute to generalizable knowledge or if they intend to use the information solely for internal improvements and/or to meet OJP's performance measures data reporting requirements. If an application includes a research, demonstration evaluation, or statistical data collection component, OJP will examine that component to determine whether it meets the definition of research. For additional information visit the "Research and Protection of Human Subjects" and the "Confidentiality" sections of the OJP "Other Requirements" Web page at <a href="https://www.ojp.usdoj.gov/funding/other\_requirements.htm">www.ojp.usdoj.gov/funding/other\_requirements.htm</a>.

### **Notice of Post-Award FFATA Reporting Requirement**

Applicants should anticipate that OJP will require all recipients (other than individuals) of awards of \$25,000 or more under this solicitation, consistent with the Federal Funding Accountability and Transparency Act of 2006 (FFATA), to report award information on any first-tier subawards totaling \$25,000 or more, and, in certain cases, to report information on the names and total compensation of the five most highly compensated executives of the recipient and first-tier subrecipients. Each applicant entity must ensure that it has the necessary processes and systems in place to comply with the reporting requirements should it receive funding. Reports regarding subawards will be made through the FFATA Subaward Reporting System (FSRS), found at <u>www.fsrs.gov</u>.

Please note also that applicants should anticipate that no subaward of an award made under this solicitation may be made to a subrecipient (other than an individual) unless the potential subrecipient acquires and provides a Data Universal Numbering System (DUNS) number.

## What an Application Is Expected To Include

Applicants should anticipate that if they fail to submit an application that contains all of the specified elements, it may negatively affect the review of their application; and, should OJJDP decide to make an award, it may result in the inclusion of special conditions that preclude the recipient from accessing or using award funds pending satisfaction of the conditions.

Moreover, applicants should anticipate that some application elements are so critical that applications that do not respond to the scope of the solicitation, that do not meet the eligibility requirements, that do not request funding within the funding limit, or that do not include the application elements that OJJDP has designated to be critical will neither proceed to peer review nor receive further consideration. Under this solicitation, OJJDP has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet or Budget Narrative.

OJP strongly recommends that applicants use appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet and Budget Narrative," "Timelines," "Memoranda of Understanding," "Resumes") for all attachments. Also, OJP recommends that applicants include resumes in a single file.

#### 1. Information to Complete the Application for Federal Assistance (SF-424)

The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. Grants.gov and GMS take information from the applicant's profile to populate the fields on this form. If the applicant is a for-profit entity, select "For-Profit Organization" or "Small Business" (as applicable), when selecting "type of applicant."

#### 2. Abstract

Applications should include a high-quality abstract that summarizes the proposed project in 400 words or less. Abstracts should be:

- written for a general public audience.
- submitted as a separate attachment with "Project Abstract" as part of its file name.
- single-spaced, using a standard 12-point font (Times New Roman) with 1-inch margins.

As a separate attachment, the project abstract will **not** count against the page limit for the program narrative.

The abstract should include a brief description of the project's purpose, the population to be served, and the activities that the applicant will implement to achieve the project's goals and objectives. The abstract should describe how the applicant will measure progress toward these goals. The abstract should indicate whether the applicant will use any portion of the budget to conduct research, as described in the Project Evaluations section on page 11. All project abstracts should follow the detailed template available at <a href="https://www.ojp.usdoj.gov/funding/Project\_Abstract\_Template.pdf">www.ojp.usdoj.gov/funding/Project\_Abstract\_Template.pdf</a>.

#### 3. Program Narrative

Applicants must submit a program narrative that presents a detailed description of the purpose, goals, objectives, strategies, design, and management of the proposed program. The program narrative should be double-spaced with 1-inch margins, not exceeding 30 pages of 8½ by 11 inches, and use a standard 12-point font, preferably Times New Roman. Pages should be numbered "1 of 30," etc. The tables, charts, pictures, etc., including all captions, legends, keys, subtext, etc., may be single-spaced, and will count in the 30-page limit. Material required under the Budget and Budget Narrative and Additional Attachments sections will not count toward the program narrative page count. Applicants may provide bibliographical references as a separate attachment that will not count toward the 30-page program narrative limit. If the program narrative fails to comply with these length-related restrictions, OJJDP may consider such noncompliance in peer review and in final award decisions.

The program narrative should address the following selection criteria: (1) statement of the problem; (2) goals, objectives, and performance measures; (3) program design and implementation; and (4) capabilities/competencies. The applicant should clearly delineate the connections between and among each of these sections. For example, the applicant should derive the goals and objectives directly from the problems to be addressed. Similarly, the project design section should clearly explain how the program's structure and activities will accomplish the goals and objectives identified in the previous section.

The following sections should be included as part of the program narrative.

a. Statement of the Problem. Applicants should briefly describe the need for TTA on promising and evidence-based prevention, intervention, treatment, and aftercare programs and services for delinquent and at-risk girls. The applicant should use data to provide evidence that the need exists, demonstrate the size and scope of the problem,

and document the effects of the need on the target population and the larger community. Applicants should describe the target population and any previous or current attempts to deliver TTA.

Applicants should describe any research or evaluation studies that relate to the need and contribute to the applicant's understanding of its causes and potential solutions. While OJJDP expects applicants to review the research literature for relevant studies, they should also explore whether unpublished local sources of research or evaluation data are available.

**b.** Goals, Objectives, and Performance Measures. Applicants should describe the goals of the proposed program and identify its objectives. When formulating the program's goals and objectives, applicants should be cognizant of the performance measures that OJJDP will require successful applicants to provide.

**Goals.** Applicants should describe the program's intent to implement the TTA noted in the previous section and outline the project's goals.

**Program Objectives.** Applicants should explain how the program will accomplish its goals. Objectives are specific, quantifiable statements of the project's desired results. They should be clearly linked to the TTA strategy identified in the preceding section and measurable. (Examples of measurable objectives include the following: Percentage of people exhibiting increased knowledge of the program area.)

**Performance Measures.** OJJDP does not require applicants to submit performance measures data with their applications. Performance measures (see Performance Measures, page 9) are included as an alert that OJJDP will require successful applicants to submit specific data as part of their reporting requirements. For the application, applicants should indicate an understanding of these requirements and discuss how they will gather the required data, should they receive funding.

OJJDP encourages award recipients to use information from existing program records to fulfill performance measures reporting requirements rather than initiating new data collection activities for this purpose.

c. Project Design and Implementation. Applicants should detail how the project will operate throughout the funding period and describe the strategies that they will use to achieve the goals and objectives identified in the previous section. Applicants should describe how they will complete the deliverables stated in the Goals, Objectives, and Deliverables section on page 4. OJJDP encourages applicants to select evidence-based practices for their programs.

This section should also include details regarding any leveraged resources (cash or inkind) from local sources to support the project and discuss plans for sustainability beyond the grant period.

**Timeline.** Applicants should submit a realistic timeline or milestone chart that indicates major tasks associated with the goals and objectives of the project, assigns responsibility for each, and plots completion of each task by month or quarter for the duration of the award, using "Year 1," "Month 1," "Quarter 1," etc., not calendar dates (see "Sample Project Timelines" at www.ojjdp.gov/grantees/timelines.html).

Applicants should submit the timeline as a separate attachment, as stipulated in Additional Attachments, page 16. On receipt of an award, the recipient may revise the timeline, based on training and technical assistance that OJJDP will provide.

d. Capabilities and Competencies. This section should describe the experience and capability of the applicant organization and any contractors or subgrantees that the applicant will use to implement and manage this effort and its associated federal funding, highlighting any previous experience implementing projects of similar design or magnitude. Applicants should highlight their experience/capability/capacity to manage subawards, including details on their system for fiscal accountability. Management and staffing patterns should be clearly connected to the project design described in the previous section. Applicants should describe the roles and responsibilities of project staff and explain the program's organizational structure and operations. Applicants should include a copy of the organizational chart showing how their organization operates, including who manages the finances; how the applicant manages subawards, if there are any; and detailing the management of the project proposed for funding.

**Letters of Support/Memoranda of Understanding.** If submitting a joint application, as described under Eligibility, page 3, applicants should provide signed and dated letters of support or memoranda of understanding for all key partners that include the following:

- expression of support for the program and a statement of willingness to participate and collaborate with it.
- description of the partner's current role and responsibilities in the planning process and expected responsibilities when the program is operational.
- estimate of the percent of time that the partner will devote to the planning and operation of the project.

#### 4. Budget Detail Worksheet and Budget Narrative

Applicants should provide a budget that (1) is complete, allowable, and cost-effective in relation to the proposed activities; (2) shows the cost calculations demonstrating how they arrived at the total amount requested; and (3) provides a brief supporting narrative to link costs with project activities. The budget should cover the entire award period.

For questions pertaining to budget and examples of allowable and unallowable costs, see the OJP Financial Guide at <u>www.ojp.usdoj.gov/financialguide/index.htm</u>.

- a. Budget Detail Worksheet. A sample Budget Detail Worksheet can be found at <u>www.oip.gov/funding/forms/budget\_detail.pdf</u>. Applicants that submit their budget in a different format should include the budget categories listed in the sample budget worksheet.
- **b.** Budget Narrative. The Budget Narrative should thoroughly and clearly describe <u>every</u> category of expense listed in the Budget Detail Worksheet. OJP expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

Applicants should demonstrate in their budget narratives how they will maximize cost

effectiveness of grant expenditures. Budget narratives should generally describe cost effectiveness in relation to potential alternatives and the goals of the project. For example, a budget narrative should detail why planned in-person meetings are necessary or how the applicant could use technology and collaboration with outside organizations to reduce costs without compromising quality.

The narrative should be mathematically sound and correspond with the information and figures provided in the Budget Detail Worksheet. The narrative should explain how the applicant estimated and calculated <u>all</u> costs, and how they are relevant to the completion of the proposed project. The narrative may include tables for clarification purposes but need not be in a spreadsheet format. As with the Budget Detail Worksheet, the Budget Narrative should be broken down by year.

#### 5. Indirect Cost Rate Agreement (if applicable)

Indirect costs are allowed only if the applicant has a federally approved indirect cost rate. (This requirement does not apply to units of local government.) Attach a copy of the federally approved indirect cost rate agreement to the application. Applicants that do not have an approved rate may request one through their cognizant federal agency, which will review all documentation and approve a rate for the applicant organization, or, if the applicant's accounting system permits, costs may be allocated in the direct cost categories. If DOJ is the cognizant federal agency, obtain information needed to submit an indirect cost rate proposal at <a href="https://www.ojp.usdoj.gov/funding/pdfs/indirect\_costs.pdf">www.ojp.usdoj.gov/funding/pdfs/indirect\_costs.pdf</a>.

#### 6. Additional Attachments

Applicants should submit the following information, as stipulated in the cited pages, as attachments to their application. While the materials listed below are not assigned specific point values, peer reviewers will, as appropriate, consider these items when rating applications. For example, reviewers will consider résumés and/or letters of support/ memoranda of understanding when assessing "capabilities/competencies." Peer reviewers will not consider any additional information that the applicant submits other than that specified below.

**Applicant disclosure of pending applications.** Applicants are to disclose whether they have pending applications for federally funded assistance that include requests for funding to support the same project being proposed under this solicitation <u>and</u> will cover the identical cost items outlined in the budget narrative and worksheet in the application under this solicitation. The disclosure should include both direct applications for federal funding (e.g., applications to federal agencies) and indirect applications for such funding (e.g., applications to state agencies that will be subawarding federal funds).

OJP seeks this information to help avoid any inappropriate duplication of funding. Leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate duplication.

Applicants that have pending applications as described above are to provide the following information about pending applications submitted within the last 12 months:

• the federal or state funding agency.

- the solicitation name/project name.
- the point of contact information at the applicable funding agency.

Federal or State Funding Agency	Solicitation Name/ Project Name	Name/Phone/E-mail for Point of Contact at Funding Agency
DOJ/COPS	COPS Hiring Program	Jane Doe, 202/000-0000; jane.doe@usdoj.gov
HHS/Substance Abuse & Mental Health Services Administration	Drug Free Communities Mentoring Program/North County Youth Mentoring Program	John Doe, 202/000-0000; john.doe@hhs.gov

Applicants should include the table as a separate attachment, with the file name "Disclosure of Pending Applications," to their application. Applicants that do not have pending applications as described above are to include a statement to this effect in the separate attachment page. (e.g., "[Applicant Name] does not have pending applications submitted within the last 12 months for federally funded assistance that include requests for funding to support the same project being proposed under this solicitation and will cover the identical cost items outlined in the budget narrative and worksheet in the application.")

- **b.** timeline or milestone chart (see Timeline, page 14)
- c. résumés of all key personnel
- d. job descriptions outlining roles and responsibilities for all key positions
- e. letters of support/memoranda of understanding from partner organizations (see Letters of Support/Memoranda of Understanding, page 15)
- **f.** evidence of nonprofit status, e.g., a copy of the tax exemption letter from the Internal Revenue Service, if applicable.
- g. evidence of for-profit status, e.g., a copy of the articles of incorporation, if applicable.

#### 7. Other Standard Forms

Additional forms that OJP may require in connection with an award are available on OJP's funding page at <u>www.ojp.usdoj.gov/funding/forms.htm</u>. For successful applicants, receipt of funds may be contingent upon submission of all necessary forms. Note in particular the following forms:

- **a.** <u>Standard Assurances.</u> Applicants must read, certify, and submit this form in GMS prior to the receipt of any award funds.
- b. <u>Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility</u> <u>Matters; and Drug-Free Workplace Requirements.</u> Applicants must read, certify, and submit in GMS prior to the receipt of any award funds.

**c.** <u>Accounting System and Financial Capability Questionnaire.</u> Any applicant (other than an individual) that is a non-governmental entity and has not received any award from OJP within the past 3 years, must download, complete, and submit this form.

\*These OJP Standard Assurances and Certifications are forms that applicants accept in GMS. They are not additional forms to be uploaded at the time of application submission.

### **Selection Criteria**

The following five selection criteria will be used to evaluate each application, with the different weight given to each based on the percentage value listed after each individual criteria. For example, the first criteria, Statement of the Problem, is worth 20 percent of the entire score in the application review process.

- 1. Statement of the Problem (20 percent)
- 2. Goals, Objectives, and Performance Measures (5 percent)
- 3. Project Design and Implementation (40 percent)
- 4. Capabilities and Competencies (25 percent)
- Budget: complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities) Budget narratives should generally demonstrate how applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the goals of the project.<sup>4</sup> (10 percent)

See What an Application Is Expected To Include, page 12, for the criteria that the peer reviewers will use to evaluate applications.

### **Review Process**

OJP is committed to ensuring a fair and open process for awarding grants. OJJDP reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. OJJDP may use either internal peer reviewers, external peer reviewers, or a combination, to review the applications. An external peer reviewer is an expert in the subject matter of a given solicitation who is NOT a current DOJ employee. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. A peer review panel will evaluate, score, and rate applications that meet basic minimum requirements. Peer reviewers' ratings and any resulting recommendations are advisory only. In addition to peer review ratings, considerations for award recommendations and decisions may include, but are not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding.

The Office of the Chief Financial Officer, in consultation with OJJDP, reviews applications for potential discretionary awards to evaluate the fiscal integrity and financial capability of

<sup>&</sup>lt;sup>4</sup> Generally speaking, a reasonable cost is a cost that, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the costs.

applicants, examines proposed costs to determine if the Budget Detail Worksheet and Budget Narrative accurately explain project costs, and determines whether costs are reasonable, necessary, and allowable under applicable federal cost principles and agency regulations.

Absent explicit statutory authorization or written delegation of authority to the contrary, the Assistant Attorney General, who may consider factors including, but not limited to, underserved populations, geographic diversity, strategic priorities, past performance, and available funding, will make all final award decisions.

# **Additional Requirements**

Applicants selected for awards must agree to comply with additional legal requirements upon acceptance of an award. OJP encourages applicants to review the information pertaining to these additional requirements prior to submitting an application. Additional information for each requirement can be found at <u>www.ojp.usdoj.gov/funding/other\_requirements.htm</u>.

- Civil Rights Compliance
- Civil Rights Compliance Specific to State Administering Agencies
- Faith-Based and Other Community Organizations
- Confidentiality
- Research and the Protection of Human Subjects
- Anti-Lobbying Act
- Financial and Government Audit Requirements
- National Environmental Policy Act (NEPA)
- DOJ Information Technology Standards (if applicable)
- Single Point of Contact Review
- Non-Supplanting of State or Local Funds
- Criminal Penalty for False Statements
- Compliance with Office of Justice Programs Financial Guide
- Suspension or Termination of Funding
- Nonprofit Organizations
- For-Profit Organizations
- Government Performance and Results Act (GPRA)

- Rights in Intellectual Property
- Federal Funding Accountability and Transparency Act of 2006 (FFATA)
- Awards in Excess of \$5,000,000 Federal Taxes Certification Requirement
- Policy and Guidance for Conference Approval, Planning, and Reporting
- OJP Training Guiding Principles for Grantees and Subgrantees

# How to Apply

Applicants must register with and submit applications through Grants.gov, a "one-stop storefront" to find federal funding opportunities and apply for funding. Find complete instructions on how to register and submit an application at <u>www.Grants.gov</u>. Applicants that experience technical difficulties during this process should call the Grants.gov Customer Support Hotline at **800-518-4726** or **606–545–5035**, 24 hours a day, 7 days a week, except federal holidays. Registering with Grants.gov is a one-time process; however, **processing delays may occur, and it can take several weeks** for first-time registrants to receive confirmation and a user password. OJP encourages applicants to **register several weeks before** the application submission deadline. In addition, OJP urges applicants to submit applications 72 hours prior to the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

**Note:** OJJDP encourages all prospective applicants to sign up for Grants.gov e-mail notifications regarding this solicitation. If this solicitation is cancelled or modified, individuals who sign up with Grants.gov for e-mail updates will be notified. All applicants are required to complete the following steps:

- 1. Acquire a DUNS number. In general, the Office of Management and Budget requires that all applicants (other than individuals) for federal funds include a DUNS number in their applications for a new award or a supplement to an existing award. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and differentiating entities receiving federal funds. The identifier is used for tracking purposes and to validate address and point of contact information for federal assistance applicants, recipients, and subrecipients. The DUNS number will be used throughout the grant life cycle. Obtaining a DUNS number is a free, one-time activity. Call Dun and Bradstreet at 866–705–5711 to obtain a DUNS number or apply online at www.dnb.com. A DUNS number is usually received within 1-2 business days.
- 2. Acquire registration with the System for Award Management (SAM). SAM replaces the Central Contractor Registration (CCR) database as the repository for standard information about federal financial assistance applicants, recipients, and subrecipients. OJP requires all applicants (other than individuals) for federal financial assistance to maintain current registrations in the SAM database. Applicants must register in SAM to successfully register in Grants.gov. (Previously, organizations that had submitted applications via Grants.gov were registered with CCR, as it was a requirement for Grants.gov registration. SAM registration replaces CCR as a pre-requisite for Grants.gov registration.) Applicants must update or renew their SAM registration annually to maintain an active status.

Applicants that were previously registered in the CCR database must, at a minimum:

- create a SAM account.
- log into SAM and migrate permissions to the SAM account (all the entity registrations and records should already have been migrated).

Applicants that were not previously registered in the CCR database must register in SAM prior to registering in Grants.gov. Information about SAM registration procedures can be accessed at <u>www.sam.gov</u>.

- 3. Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password. Complete the AOR profile on Grants.gov and create a username and password. Applicants must use their organization's DUNS number to complete this step. For more information about the registration process, go to www.grants.gov/applicants/get\_registered.jsp.
- **4.** Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. An organization can have more than one AOR.
- 5. Search for the funding opportunity on Grants.gov. Use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance number for this solicitation is 16.540, titled *"Juvenile Justice and Delinquency Prevention Allocation to States,"* and the funding opportunity number is OJJDP-2013-3580.
- 6. Complete the Disclosure of Lobbying Activities. All applicants must complete this information. Applicants that expend any funds for lobbying activities must provide the detailed information requested on the form, *Disclosure of Lobbying Activities* (SF-LLL). Applicants that do not expend any funds for lobbying activities should enter "N/A" in the required highlighted fields.
- 7. Follow the directions in Grants.gov to submit an application consistent with this solicitation. Within 24–48 hours after submitting the electronic application, the applicant should receive an e-mail validation message from Grants.gov. The message will state whether the application has been received and validated, or rejected due to errors, with an explanation. <u>Important:</u> OJP urges applicants to submit applications at least 72 hours prior of the application due date to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

Note: Grants.gov permits the use of specific characters in names of attachment files. Valid file names may include only the following characters: A-Z, a-z, 0-9, underscore (), hyphen (-), space, and period. Grants.gov will forward the application to OJP's Grants Management System (GMS). GMS does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip." **Note: Duplicate Applications.** If an applicant submits multiple versions of an application, OJJDP will review the most recent version submitted.

#### Experiencing Unforeseen Grants.gov Technical Issues

Applicants that experience unforeseen Grants.gov technical issues that prevent them from submitting their application by the deadline must e-mail the Justice Information Center (see Page 1 for contact information) within 24 hours after the application deadline and request approval to submit their application. The e-mail must describe the technical difficulties, and include a timeline of the applicant's submission efforts, the complete grant application, the applicant's DUNS number, and any Grants.gov Help Desk or SAM tracking number(s). Note: OJJDP does not automatically approve requests. After the program office reviews the submission, and contacts the Grants.gov or SAM Help Desks to validate the reported technical issues, OJP will inform the applicant whether the request to submit a late application has been approved or denied. If the technical issues reported cannot be validated, OJP will reject the application as untimely.

The following conditions are <u>not</u> valid reasons to permit late submissions: (1) failure to register in sufficient time, (2) failure to follow Grants.gov instructions on how to register and apply as posted on its Web site, (3) failure to follow each instruction in the OJP solicitation, and (4) technical issues with the applicant's computer or information technology environment, including firewalls.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP funding Web page at <u>www.ojp.usdoj.gov/funding/solicitations.htm</u>.

### Provide Feedback to OJP on This Solicitation

To help OJP improve its application and award processes, we encourage applicants to provide feedback on this solicitation, the application submission process, and/or the application review/peer review process. Feedback may be provided to OJPSolicitationFeedback@usdoj.gov.

**IMPORTANT:** This e-mail is for feedback and suggestions only. OJP does **not** send replies from this mailbox. If you have specific questions on any program or technical aspect of the solicitation, **you must** directly contact the appropriate number or e-mail listed on the front of this solicitation document. These contacts are provided to ensure that you can directly reach an individual who can address your specific questions in a timely manner.

If you are interested in being a reviewer for other OJP grant applications, e-mail your resume to <u>ojppeerreview@lmbps.com</u>. The OJP Solicitation Feedback e-mail account will not forward your resume. **Note:** Neither you nor anyone else from your organization can be a peer reviewer in a competition in which you or your organization have submitted an application.

## **Application Checklist**

### **OJJDP FY 2013 National Girls Institute**

This application checklist has been created to assist in developing an application.

#### **Eligibility Requirement:**

- \_\_\_\_\_Nonprofit or for-profit organization, including tribal nonprofit or for-profit organization \_\_\_\_\_Institution of higher education, including tribal institution of higher education
- \_\_\_\_\_The federal amount requested is within the allowable limit of \$500,000.

#### What an Application is Expected to Include:

- \_\_\_\_\_Application for Federal Assistance (SF-424) (see page 12)
- \_\_\_\_\_Abstract (see page 13)
- Program Narrative (see page 13)
  - \_\_\_\_Format (double spaced, 12-point standard font, 1" standard margins, narrative is 30 pages or less)
  - \_\_\_\_Statement of the Problem
  - \_\_\_\_\_Goals, Objectives and Performance Measures
  - Project Design and Implementation
  - \_\_\_\_Capabilities/Competencies
- \_\_\_\_\_Budget Detail Worksheet and Budget Narrative (see page 15)
  - \_\_Indirect Cost Rate Agreement (if applicable) (see page 16)
- \_\_\_\_Additional Attachments (see page 16)
  - \_\_\_\_\_disclosure of pending applications
  - \_\_\_\_timeline or milestone chart
  - \_\_\_\_\_résumés of all key personnel
  - \_\_\_\_job descriptions for all key positions
  - letters of support/memoranda of understanding from partner organizations
  - \_\_\_\_\_evidence of nonprofit status e.g., copy of articles of incorporation, if applicable
  - \_\_\_\_\_evidence of for-profit status e.g., copy of tax exemption letter from the Internal Revenue Service, if applicable
- \_\_\_\_Other Standard Forms and Components, as applicable, including:
  - \_\_\_\_\_Accounting System and Financial Capability Questionnaire (see page 18)
  - \_\_\_\_\_DUNS number (see page 20)
  - \_\_\_\_\_System for Award Management (SAM) (see page 20)
  - \_\_\_\_\_AOR registration and confirmation (see page 21)
  - \_\_\_\_\_Disclosure of Lobbying Activities (SF-LLL) (see page 21)