U.S. Department of Justice Office of Justice Programs Office of Juvenile Justice and Delinquency Prevention



The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Office of Juvenile</u> <u>Justice and Delinquency Prevention</u> (OJJDP) is seeking applications for funding under the fiscal year (FY) 2019 Enhancements for Juvenile Indigent Defense program. This program will provide funding to states and/or localities and national training and technical assistance to enhance juvenile defense delivery systems and improve juvenile defense practice. This program furthers the Department's mission to reduce violent crime by supporting states and localities in their efforts to address barriers and gaps within their juvenile justice systems for indigent youth in order to reduce reoffending and decrease recidivism.

OJJDP FY 2019 Enhancements for Juvenile Indigent Defense

Applications Due: May 8, 2019

Eligibility

This initiative is composed of two categories.

Category 1: State and Local Juvenile Defense System Enhancements (OJJDP-2019-16209). Eligible applicants are limited to states (including territories) and units of local government.¹

Category 2: Juvenile Defense Training and Technical Assistance (OJJDP-2019-16210). Eligible applicants are limited to nonprofit² and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education). For-profit organizations (as well as other recipients) must forgo any profit or management fee.

¹ A "unit of local government" means—

⁽a) Any city, county, township, town, borough, parish, village, or other general purpose political subdivision of a state.(b) Any law enforcement district or judicial enforcement district that—

⁽i) Is established under applicable state law, and

⁽ii) Has the authority to, in a manner independent of other state entities, establish a budget and impose taxes.

⁽c) For the purposes of assistance eligibility, any agency of the government of the District of Columbia or the federal government that performs law enforcement functions in and for—

⁽i) The District of Columbia, or

⁽ii) Any Trust Territory of the United States.

² See <u>ojp.gov/funding/Explore/SolicitationRequirements/OrganizationalRequirements.htm</u> for additional information on demonstrating nonprofit status.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

Eligible applicants that propose to provide direct services to youth must not include youth who are age 18 or older in the population they will serve.

OJJDP will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as subrecipients (subgrantees). The applicant must be the entity that would have primary responsibility for carrying out the award, including administering the funding and managing the entire program.

OJJDP may elect to fund applications submitted under this FY 2019 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and the availability of appropriations.

Deadline

Applicants must register with Grants.gov at https://www.grants.gov/web/grants/register.html prior to submitting an application. All applications are due by 11:59 p.m. eastern time (ET) on May 8, 2019.

To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

OJP encourages all applicants to read this Important Notice: Applying for Grants in Grants.gov.

For additional information, see <u>How to Apply</u> in Section D. Application and Submission Information.

Contact Information

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800–518–4726 or 606–545–5035, at <u>https://www.grants.gov/web/grants/support.html</u>, or at <u>support@grants.gov</u>. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must email the National Criminal Justice Reference Service Response Center (Response Center) at <u>grants@ncjrs.gov</u> within 24 hours after the application deadline to request approval to submit its application after the deadline. Additional information on reporting technical issues appears under "Experiencing Unforeseen Grants.gov Technical Issues" in the <u>How To Apply</u> section.

For assistance with any other requirements of this solicitation, contact the Response Center by telephone at 800–851–3420 or TTY: 301–240–6310 (hearing impaired only) or by email at

<u>grants@ncjrs.gov</u>. Response Center hours of operation are 10 a.m. to 6 p.m. ET, Monday through Friday, and 10 a.m. to 8 p.m. ET on the solicitation close date. General information on applying for OJJDP awards can be found at <u>https://www.ojjdp.gov/funding/funding.html</u>. Answers to frequently asked questions that may assist applicants are posted at <u>https://www.ojjdp.gov/grants/solicitations/FY2019/FAQ/EJIDS.pdf</u>.

Grants.gov number assigned to this solicitation: OJJDP-2019-14927

Release date: March 6, 2019



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OJJDP FY 2019 Enhancements for Juvenile Indigent Defense CFDA #16.836

A. Program Description

Overview

State and local agencies responsible for juvenile courts and the delivery of juvenile defense services require the internal expertise and tools to effectively conduct thorough system assessments to identify deficiencies in programs, policies and practices, and other systemic challenges to develop and implement effective solutions to address identified gaps. This program will support states and localities in developing and implementing tools and strategies to ensure that youth involved with the juvenile justice system have fair and equal access to high-quality legal representation and to resources that address the collateral consequences of justice system involvement. This program will provide funding to states and localities to enhance juvenile defense in one or more of the following areas: staff training, data collection and analysis, juvenile defense delivery system analyses, and/or addressing a specific need(s) identified by the state or locality related to juvenile defense services delivery.

Statutory Authority: Any awards under this solicitation would be made under statutory authority provided by an act appropriating funds for the Department of Justice for FY 2019.

Program-Specific Information

Many offenders in the juvenile justice system have experienced trauma, witnessed violence, or been victims of crime themselves. Ensuring access to specialized, well-resourced legal defense counsel capable of identifying and supporting youth's needs and strengths increases the probability that youth will be matched with appropriate, individualized, responsive services to mitigate the risk of reoffending and, ultimately, enhance public safety. Research demonstrates that youth who feel that they have been fairly treated and adequately heard in the justice system are more amenable to treatment and rehabilitative programming, even if they are ultimately adjudicated delinquent.³ A critical component to improving juvenile indigent defense is ensuring that youth have full access to qualified, specialized legal counsel trained to help youth access critical information and resources, navigate complicated legal decisions, and anticipate and address collateral consequences associated with juvenile justice system involvement. Youth who are adjudicated delinquent need dedicated legal counsel to provide judges, prosecutors, and probation officers with information and resources regarding what services will help these youth succeed, recommend appropriate dispositions, and avoid further contact with the justice system when they reenter their communities.

³ See Fagan, J.A., and Piquero, A.R. 2007. Rational choice and developmental influences on recidivism among adolescent felony offenders. *Journal of Empirical Legal Studies* 4(715),

http://www.ncbi.nlm.nih.gov/pmc/articles/PMC2818334/pdf/nihms-154757.pdf; Bonnie, R.J., Johnson, R.L., Chemers, B.M., and Schuck, J.A., eds. 2013. *Reforming Juvenile Justice: A Developmental Approach*, pp. 192–193 and 197–198. Washington, DC: The National Academies Press, https://www.nap.edu/catalog/14685/reformingjuvenile-justice-a-developmental-approach; and Fagan, J., and Tyler, T. 2005. Legal socialization of children and adolescents. *Social Justice Research* 18(217).

Goals, Objectives, and Deliverables

Category 1: State and Local Juvenile Defense System Enhancements. This program will provide funding to support states and localities to:

- Develop and implement specialized training for public and appointed defenders who provide services to juvenile defendants from arrest through post-disposition and reentry.
- Improve data collection and reporting capacity of the juvenile court system, specifically relating to youth and their legal representation, and access to services pre- and post-adjudication.
- Analyze the juvenile defense delivery system(s) to identify critical program, practice, and policy needs; areas for improvement; and aspects that are working well and may be replicable and/or scalable across jurisdictions.
- Address an identified need(s) or gap(s) within the applicant's juvenile justice system related to providing juvenile offenders with full access to high-quality, specialized juvenile defense services.

Focus Area 1: Provide specialized training for public and appointed defenders who provide services to juvenile defendants from arrest through post-disposition and reentry. Areas in which applicants may provide training include, but are not limited to:

- Behavioral development, the impact of exposure to violence and trauma on human development and well-being, substance abuse, and mental health issues.
- Juvenile interviewing and advising techniques.
- Juvenile-specific laws and procedures.
- Juvenile-specific disposition advocacy, including evidence-based service programs that promote youth success and public safety.
- The impact of collateral consequences on juvenile recidivism.

Applicants who focus on this category of work should demonstrate how they will leverage webbased learning technology to maximize their proposed program's reach and impact.

Focus Area 2: Improve data collection and reporting capacity for the juvenile court system, specifically relating to youth and their legal representation and access to services pre- and post-adjudication. In many states, a lack of uniform and specific metrics related to juvenile defense systems and access to juvenile defense counsel makes identifying strengths and gaps difficult, inhibits cross-jurisdictional comparison, and can result in gaps in services, sometimes referred to as "justice by geography." Key metrics that awardees should consider collecting in each case and in the aggregate include, but are not limited to:

- The point at which counsel is appointed in a case.
- Whether juvenile defense counsel is present at each stage of a case (intake, diversion, bail/bond, detention, probable cause, pre-adjudication, plea or adjudication, disposition, appeals, and post-disposition).

- Whether counsel was waived or withdrawn, and at which stage.
- Case outcomes.

Focus Area 3: Analyze the juvenile defense delivery system to identify critical needs and gaps in services, areas for improvement, and aspects that are working and may be replicable and/or scalable across jurisdictions. Applicants should conduct a baseline analysis of access to and quality of juvenile defense counsel in their service area in order to provide system stakeholders with critical, actionable information on which to base juvenile justice system improvement efforts. Applicants should examine and compare trends, gaps, and points of success across jurisdictions.

Focus Area 4: Address a specific, identified need or gap within the juvenile justice system to improve access to and/or the quality of juvenile defense services for youth at every stage of justice system involvement, from arrest and charging to appeal and reentry. Applicants should emphasize data-driven, evidence-based system-improvement tools and strategies. Applicants may choose to create, amend, or rescind juvenile justice policies and procedures and align programs, services, systems, tools, and practices with what research shows works to improve juvenile defense. Examples of needs/gaps that could be addressed include, but are not limited to ensuring youth cannot waive counsel unless and until they have had an opportunity to meet with counsel; providing access to counsel in advance of hearings (in order to effectively prepare and decrease detention); providing access to counsel postdisposition (to assist with school reentry, release plans, facilities issues, etc.); increasing access to counsel specifically for reentry planning and civil legal services, to include record clearance; automatic appointment of counsel; elimination of fees levied against youth and families for accessing counsel; increasing training and technical assistance on addressing or eliminating collateral consequences; advancing efforts to decrease detention and incarceration; increasing efforts to create juvenile defense specialization in their states through training and standards; or building juvenile defense capacity in rural, remote, and/or tribal areas.

Applicants that elect to pursue more than one of the four above-described focus areas should carefully assess whether their total proposed budget is sufficient to achieve all of the proposed goals, objectives, activities, and deliverables.

Category 2: Juvenile Defense Training and Technical Assistance. OJJDP will select a national training and technical assistance (TTA) provider to work with state and local juvenile defense delivery systems to improve juvenile defense delivery systems and juvenile defense practice for public and appointed juvenile defenders. TTA should emphasize data-driven, evidence-based, juvenile defense delivery strategies that address the impact of exposure to violence and trauma. The TTA provider will carry out the following activities:

- Provide intensive TTA to the FY 2019 state and/or local OJJDP-funded grantees selected under Category 1 of this solicitation. The TTA should support system improvements that are initiated and led at the state and local levels.
- Implement strategies to enhance delivery of high-quality, specialized, legal defense services for youth.
- Assess and address public and appointed juvenile defenders' training needs.
- Facilitate peer-to-peer consultation and networking among juvenile defenders and help develop communities of practice across the juvenile defense field.
- Develop tools and resources that emphasize data-driven, evidence-based, juvenile defense delivery system improvement strategies; advance best practices in juvenile

defense service delivery; and enhance procedural justice to ensure children and youth are afforded due process and equal protection.

• Maintain a national resource clearinghouse for states, localities, and the juvenile defense bar.

Deliverables. In addition to the strategy and content of the program design, the successful applicant must complete the following activities and deliverables during the 36-month project period. Subsequent deliverables may be developed according to need and funding availability. OJJDP will require the awardee to submit the products to OJJDP. The successful applicant will develop the following deliverables:

- A written TTA plan to provide assistance and implementation tools and materials to the FY 2019 state/local implementation grantees to be selected under Category 1 of this solicitation. The TTA plan should include performance measures and benchmarks, plans to deliver onsite technical assistance visits, and plans for leveraging web-based resources/platforms and phone consultations when onsite training is not practicable or is cost-prohibitive.
- A written training proposal that employs both live and web-based training, including a train-the-trainer component to embed sustainable capacity in states, tribes, and/or localities. Training should support jurisdictions' efforts to conduct systemwide, specialized (juvenile-specific) training for public and appointed juvenile defenders, and facilitate peer-to-peer learning.
- Juvenile-specific training for attorneys (on topics such as effective case management, the impact and effects of trauma on children and youth, and litigation techniques and strategies).
- A web-based resource databank (juvenile defense information clearinghouse).
- A marketing plan that outlines how the successful applicant will disseminate products and materials that will assist defender offices and individual defenders (public and appointed) in delivering high-quality, specialized, legal representation for youth.
- TTA and evaluation protocols to ensure consistency and quality of service delivery.

The program narrative should reflect how the applicant will accomplish the above-described program activities and proposed deliverables. Applicants should be realistic in estimating the cost of deliverables and in detailing the implementation schedule.

The Goals, Objectives, and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed in <u>Section D. Application and</u> <u>Submission Information</u>, under Program Narrative.

Evidence-Based Programs or Practices

OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. OJP is committed to:

- Improving the quantity and quality of evidence OJP generates.
- Integrating evidence into program, practice, and policy decisions within OJP and the field.
- Improving the translation of evidence into practice.

OJP considers programs and practices to be evidence-based when their effectiveness has been demonstrated by causal evidence, generally obtained through one or more outcome evaluations. Causal evidence documents a relationship between an activity or intervention (including technology) and its intended outcome, including measuring the direction and size of a change, and the extent to which a change may be attributed to the activity or intervention. Causal evidence depends on the use of scientific methods to rule out, to the extent possible, alternative explanations for the documented change. The strength of causal evidence, based on the factors described above, will influence the degree to which OJP considers a program or practice to be evidence-based.

The <u>OJP CrimeSolutions.gov</u> website and the <u>OJJDP Model Programs Guide</u> website at <u>https://www.crimesolutions.gov</u> are two resources that applicants may use to find information about evidence-based programs in criminal justice, juvenile justice, and crime victim services.

OJJDP training and technical assistance awardee standards. OJJDP has developed the Core Performance Standards for Training, Technical Assistance, and Evaluation to promote among providers the consistency and quality of OJJDP-sponsored training and technical assistance and to advance common expectations of performance excellence. The standards present minimum expectations that providers must meet for effective practice in the planning, coordination, delivery, and evaluation of training. Award recipients must coordinate with OJJDP's National Training and Technical Assistance Center (NTTAC) in the assessment and delivery of services to ensure the effective use of OJJDP grant funding.

Requirements related to coordination of activities will include, but are not limited to:

- **Coordination with OJJDP NTTAC.** OJJDP requires all training and technical assistance projects to coordinate their activities with OJJDP NTTAC by complying with all OJJDP/NTTAC protocols to ensure coordinated delivery of services among providers and the effective use of OJJDP grant funding. OJJDP reserves the right to modify these protocols at any time with reasonable notice to the grantee prior to project completion.
- **OJJDP-funded webinars.** The award recipient must comply with OJJDP's Webinar Guidelines, as described in the core performance standards. Minimally, OJJDP training and technical assistance providers will submit information to OJJDP NTTAC in advance of all events for the online calendar, use the approved OJJDP presentation template, and record events and provide the final files which are compliant with Section 508 of the Workforce Rehabilitation Act to OJJDP or OJJDP's representative. For more information on Section 508 of the Workforce Rehabilitation Act, visit <u>www.section508.gov</u>.
- **Training information sharing.** OJP will collect information from its program offices on OJP-funded training and technical assistance events. Award recipients must use OJJDP's standard electronic training request form, submit information to NTTAC on all training events (e.g., name of requestor, description of request, and dates of event) 30 days in advance of the event date, and report additional data, as OJJDP requires.

Information Regarding Potential Evaluation of Programs and Activities

The Department of Justice has prioritized the use of evidence-based programming and deems it critical to continue to build and expand the evidence informing criminal and juvenile justice programs to reach the highest level of rigor possible. Therefore, applicants should note that OJP

may conduct or support an evaluation of the programs and activities funded under this solicitation. Recipients and subrecipients will be expected to cooperate with program-related assessments or evaluation efforts, including through the collection and provision of information or data requested by OJP (or its designee) for the assessment or evaluation of any activities and/or outcomes of those activities funded under this solicitation. The information or data requested may be in addition to any other financial or performance data already required under this program.

Encouraging Program Investments in Economically Distressed Communities (Qualified Opportunity Zones)

Under this program, OJP will, as appropriate, give priority consideration in award decisions to applications that propose projects that directly benefit federally designated Qualified Opportunity Zones (QOZs).⁴ In order to assist OJP in considering this factor, applicants should include information in the application that specifies how the project will enhance public safety in the specified QOZs. For resources on QOZs and for a current list of designated QOZs, see the U.S. Department of the Treasury's resource webpage at https://www.cdfifund.gov/pages/opportunity-zones.aspx.

B. Federal Award Information

Under Category 1, OJJDP expects to make up to three awards of up to \$364,393 each, for a total amount awarded of up to \$1,093,178.

Under Category 2, OJJDP expects to make one award of up to \$650,000, with an estimated total amount awarded of up to \$650,000.

OJJDP expects to make all awards for a 36-month period of performance, to begin on October 1, 2019. All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

Type of Award

OJJDP expects to make any awards under Category 1 of this solicitation (State and Local Juvenile Defense System Enhancements) in the form of a grant. See <u>Administrative</u>, <u>National Policy</u>, and <u>Other Legal Requirements</u>, under <u>Section F. Federal Award Administration</u> <u>Information</u>, for a brief discussion of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants.

OJJDP expects to make any award under Category 2 of this solicitation (Juvenile Defense Training and Technical Assistance) in the form of a cooperative agreement, which is a type of award that provides for OJP to have substantial involvement in carrying out award activities. See <u>Administrative</u>, <u>National Policy</u>, and <u>Other Legal Requirements</u>, under <u>Section F. Federal Award Administration Information</u>, for a brief discussion of what may constitute substantial federal involvement.

Cooperative agreements include a condition in the award document that sets out the nature of the "substantial federal involvement" in carrying out the award and program. Generally stated, under OJP cooperative agreement awards, responsibility for the day-to-day conduct of the

⁴ See Public Law 115–97, Title I, Subtitle C, Part IX, Subpart B, Sec. 13823.

funded project rests with the recipient. OJP, however, may have substantial involvement in matters such as substantive coordination of technical efforts and site selection, as well as review and approval of project work plans, research designs, data collection instruments, and major project-generated materials. In addition, OJP often indicates in the award terms and conditions that it may redirect the project if necessary.

In addition to an award condition that sets out the nature of the anticipated "substantial federal involvement" in the award, cooperative agreements awarded by OJP include an award condition that requires specific reporting in connection with conferences, meetings, retreats, seminars, symposia, training activities, or similar events funded under the award.

Financial Management and System of Internal Controls

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities⁵) must, as described in the Part 200 Uniform Requirements⁶ as set out at 2 C.F.R. 200.303:

- (a) Establish and maintain effective internal control over the Federal award that provides reasonable assurance that [the recipient (and any subrecipient)] is managing the Federal award in compliance with Federal statutes, regulations, and the terms and conditions of the Federal award. These internal controls should be in compliance with guidance in "Standards for Internal Control in the Federal Government" issued by the Comptroller General of the United States and the "Internal Control Integrated Framework", issued by the Committee of Sponsoring Organizations of the Treadway Commission (COSO).
- (b) Comply with Federal statutes, regulations, and the terms and conditions of the Federal awards.
- (c) Evaluate and monitor [the recipient's (and any subrecipient's)] compliance with statutes, regulations, and the terms and conditions of Federal awards.
- (d) Take prompt action when instances of noncompliance are identified including noncompliance identified in audit findings.
- (e) Take reasonable measures to safeguard protected personally identifiable information and other information the Federal awarding agency or pass-through entity designates as sensitive or [the recipient (or any subrecipient)] considers sensitive consistent with applicable Federal, state, local, and tribal laws regarding privacy and obligations of confidentiality.

To help ensure that applicants understand the applicable administrative requirements and cost principles, OJP encourages prospective applicants to enroll, at no charge, in the DOJ Grants

⁵ For purposes of this solicitation, the phrase "pass-through entity" includes any recipient or subrecipient that provides a subaward ("subgrant") to a subrecipient (subgrantee) to carry out part of the funded award or program. Additional information on proposed subawards is listed under <u>What an Application Should Include</u>, Section D of this solicitation. ⁶ The "Part 200 Uniform Requirements" means the DOJ regulation at 2 C.F.R. Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200.

Financial Management Online Training, available at <u>https://onlinegfmt.training.ojp.gov</u>. (This training is required for all OJP award recipients.)

Also, applicants should be aware that OJP collects information from applicants on their financial management and systems of internal controls (among other information), which is used to make award decisions. Under <u>Section D. Application and Submission Information</u>, applicants may access and review a questionnaire – the <u>OJP Financial Management and System of Internal</u> <u>Controls Questionnaire</u> – that OJP requires <u>all</u> applicants (other than an individual applying in his/her personal capacity) to download, complete, and submit as part of the application.

Budget Information

Cost Sharing or Match Requirement

This solicitation does not require a match. However, if a successful application proposes a voluntary match amount, and OJP approves the budget, the total match amount incorporated into the approved budget becomes mandatory and subject to audit.

Preagreement Costs (also known as Preaward Costs)

Preagreement costs are costs incurred by the applicant prior to the start date of the period of performance of the federal award.

OJP does **not** typically approve preagreement costs; an applicant must request and obtain the prior written approval of OJP for all such costs. All such costs incurred prior to award and prior to approval of the costs are incurred at the sole risk of the applicant. (Generally, no applicant should incur project costs *before* submitting an application requesting federal funding for those costs.) Should there be extenuating circumstances that make it appropriate for OJP to consider approving preagreement costs, the applicant may contact the point of contact listed on the title page of this solicitation for the requirements concerning written requests for approval. If approved in advance by OJP, award funds may be used for preagreement costs, consistent with the recipient's approved budget and applicable cost principles. See the section on Costs Requiring Prior Approval in the DOJ Grants Financial Guide at https://ojp.gov/financialguide/DOJ/index.htm for more information.

Limitation on Use of Award Funds for Employee Compensation; Waiver

With respect to any award of more than \$250,000 made under this solicitation, a recipient may not use federal funds to pay total cash compensation (salary plus cash bonuses) to any employee of the recipient at a rate that exceeds 110 percent of the maximum annual salary payable to a member of the federal government's Senior Executive Service (SES) at an agency with a Certified SES Performance Appraisal System for that year.⁷ The 2019 salary table for SES employees is available on the Office of Personnel Management website at https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salarytables/18Tables/exec/html/ES.aspx. Note: A recipient may compensate an employee at a greater rate, provided the amount in excess of this compensation limitation is paid with nonfederal funds. (Nonfederal funds used for any such additional compensation will not be considered matching funds, where match requirements apply.) If only a portion of an employee's time is charged to an OJP award, the maximum allowable compensation is equal to the percentage of time worked times the maximum salary limitation.

⁷ OJP does not apply this limitation on the use of award funds to the nonprofit organizations listed in Appendix VIII to 2 C.F.R. Part 200.

The Assistant Attorney General for OJP may exercise discretion to waive, on an individual basis, this limitation on compensation rates allowable under an award. An applicant that requests a waiver should include a detailed justification in the budget narrative of its application. An applicant that does not submit a waiver request and justification with its application should anticipate that OJP will require the applicant to adjust and resubmit the budget.

The justification should address—in the context of the work the individual would do under the award—the particular qualifications and expertise of the individual, the uniqueness of a service the individual will provide, the individual's specific knowledge of the proposed program or project, and a statement that explains whether and how the individual's salary under the award would be commensurate with the regular and customary rate for an individual with his/her qualifications and expertise, and for the work he/she would do under the award.

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

OJP strongly encourages every applicant that proposes to use award funds for any conference-, meeting-, or training-related activity (or similar event) to review carefully—before submitting an application—the OJP and DOJ policy and guidance on approval, planning, and reporting of such events, available at

<u>https://www.oip.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm</u>. OJP policy and guidance (1) encourage minimization of conference, meeting, and training costs; (2) require prior written approval (which may affect project timelines) of most conference, meeting, and training costs for cooperative agreement recipients, as well as some conference, meeting, and training costs for grant recipients; and (3) set cost limits, which include a general prohibition of all food and beverage costs.

Costs Associated With Language Assistance (if applicable)

If an applicant proposes a program or activity that would deliver services or benefits to individuals, the costs of taking reasonable steps to provide meaningful access to those services or benefits for individuals with limited English proficiency may be allowable. Reasonable steps to provide meaningful access to services or benefits may include interpretation or translation services, where appropriate.

For additional information, see the "Civil Rights Compliance" section under "<u>Overview of Legal</u> <u>Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2018</u> <u>Awards</u>" in the OJP Funding Resource Center at <u>https://ojp.gov/funding/index.htm</u>.

C. Eligibility Information

This initiative is composed of two categories.

Category 1: State and Local Juvenile Defense System Enhancements. Eligible applicants are limited to states (including territories) and units of local government.⁸

⁸ A "unit of local government" means—

⁽a) Any city, county, township, town, borough, parish, village, or other general purpose political subdivision of a state.(b) Any law enforcement district or judicial enforcement district that—

⁽i) Is established under applicable state law, and

⁽ii) Has the authority to, in a manner independent of other state entities, establish a budget and impose taxes.

⁽c) For the purposes of assistance eligibility, any agency of the government of the District of Columbia or the federal government that performs law enforcement functions in and for—

Category 2: Juvenile Defense Training and Technical Assistance. Eligible applicants are limited to nonprofit⁹ and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education.

All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

Eligible applicants that propose to provide direct services to youth must not include youth who are age 18 or older in the population they will serve.

OJJDP will consider applications under which two or more entities would carry out the federal award; however, only one entity may be the applicant. Any others must be proposed as subrecipients (subgrantees). The applicant must be the entity that would have primary responsibility for carrying out the award, including administering the funding and managing the entire program.

OJJDP may elect to fund applications submitted under this FY 2019 solicitation in future fiscal years, dependent on, among other considerations, the merit of the applications and the availability of appropriations.

For information on cost sharing or match requirements, see <u>Section B. Federal Award</u> <u>Information.</u>

D. Application and Submission Information

What an Application Should Include

This section describes in detail what an application should include. An applicant should anticipate that if it fails to submit an application that contains all of the specified elements, it may negatively affect the review of its application; and, should a decision be made to make an award, it may result in the inclusion of award conditions that preclude the recipient from accessing or using award funds until the recipient satisfies the conditions and OJP makes the funds available.

Moreover, an applicant should anticipate that an application that OJP determines is nonresponsive to the scope of the solicitation, including the funding limit, or that OJP determines does not include the application elements that OJJDP has designated to be critical, will neither proceed to peer review nor receive further consideration. For this solicitation, OJJDP has designated the following application elements as critical: Program Narrative, Budget Detail Worksheet and Budget Narrative, résumés/curricula vitae of key personnel, and letters of support from key system actors and stakeholders.

NOTE: OJP has combined the Budget Detail Worksheet and Budget Narrative in a single document collectively referred to as the Budget Detail Worksheet. See "Budget Information and

⁽i) The District of Columbia, or

⁽ii) Any Trust Territory of the United States.

⁹ See <u>ojp.gov/funding/Explore/SolicitationRequirements/OrganizationalRequirements.htm</u> for additional information on demonstrating nonprofit status.

Associated Documentation" below for more information about the Budget Detail Worksheet and where it can be accessed.

OJP strongly recommends that applicants use appropriately descriptive file names (e.g., "Program Narrative," "Budget Detail Worksheet," "Timelines," "Memoranda of Understanding," "Résumés") for all attachments. Also, OJP recommends that applicants include résumés in a single file.

Please review the "Note on File Names and File Types" under <u>How To Apply</u> to be sure applications are submitted in permitted formats.

1. Information To Complete the Application for Federal Assistance (SF-424)

The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. Grants.gov and the OJP Grants Management System (GMS) take information from the applicant's profile to populate the fields on this form. When selecting "type of applicant," if the applicant is a for-profit entity, select "For-Profit Organization" or "Small Business" (as applicable).

To avoid processing delays, an applicant must include an accurate legal name on its SF-424. On the SF-424, current OJP award recipients, when completing the field for "Legal Name" (box 8a), should use the same legal name that appears on the prior year award document (which is also the legal name stored in OJP's financial system). Also, current recipients should enter the Employer Identification Number (EIN) in box 8b exactly as it appears on the prior year award document. An applicant with a current, active award(s) must ensure that its GMS profile is current. If the profile is not current, the applicant should submit a Grant Adjustment Notice (GAN) updating the information on its GMS profile prior to applying under this solicitation.

A new applicant entity should enter its official legal name in box 8a, its address in box 8d, its EIN in box 8b, and its Data Universal Numbering System (D-U-N-S) number in box 8c of the SF-424. A new applicant entity should attach official legal documents to its application (e.g., articles of incorporation, 501(c)(3) status documentation, organizational letterhead) to confirm the legal name, address, and EIN entered into the SF-424. OJP will use the System for Award Management (SAM) to confirm the legal name and D-U-N-S number entered in the SF-424; therefore, an applicant should ensure that the information entered in the SF-424 matches its current registration in SAM. See the <u>How to Apply</u> section for more information on SAM and D-U-N-S numbers.

Intergovernmental Review: This solicitation ("funding opportunity") **is** subject to <u>Executive</u> <u>Order 12372</u>. An applicant may find the names and addresses of State Single Points of Contact (SPOCs) at the following website: <u>https://www.whitehouse.gov/wp-</u> <u>content/uploads/2017/11/Intergovernmental</u> -<u>Review-</u> <u>SPOC_01_2018_OFFM.pdf</u>. If the state appears on the SPOC list, the applicant must contact the state SPOC to find out about, and comply with, the state's process under E.O. 12372. In completing the SF-424, an applicant whose state appears on the SPOC list is to make the appropriate selection in response to question 19 once the applicant has complied with its state E.O. 12372 process. (An applicant whose state does not appear on the SPOC list should answer question 19 by selecting the response that the: "Program is subject to E.O. 12372 but has not been selected by the state for review.")

2. Project Abstract

Applications should include a high-quality project abstract that summarizes the proposed project in 400 words or less. Project abstracts should be—

- Written for a general public audience.
- Submitted as a separate attachment with "Project Abstract" as part of its file name.
- Single-spaced, using a standard 12-point font (such as Times New Roman) with 1-inch margins.

The abstract should briefly describe the project's purpose, the population to be served, and the activities that the applicant will implement to achieve the project's goals and objectives. The abstract should describe how the applicant will measure progress toward these goals. The abstract should indicate whether the applicant will use any portion of the project budget to conduct research, as described in Note on Project Evaluations on page 18. All project abstracts should follow the detailed template available at ojp.gov/funding/Apply/Resources/ProjectAbstractTemplate.pdf.

As a separate attachment, the project abstract will **not** count against the page limit for the program narrative.

3. Program Narrative

Applicants must submit a program narrative that presents a detailed description of the purpose, goals, objectives, strategies, design, and management of the proposed program. The program narrative should be double-spaced with 1-inch margins, not exceeding 30 pages of 8½ by 11 inches, and use a standard 12-point font, preferably Times New Roman. Pages should be numbered "1 of 30," etc. The tables, charts, pictures, etc., including all captions, legends, keys, subtext, etc., may be single-spaced and will count in the 30-page limit. Material required under the Budget and Budget Narrative and Additional Attachments sections will not count toward the program narrative page count. Applicants may provide bibliographical references as a separate attachment that will not count toward the 30-page program narrative limit. If the program narrative fails to comply with these length-related restrictions, OJJDP may consider such noncompliance in peer review and in final award decisions.

The program narrative should address the following selection criteria: (1) statement of the problem; (2) goals, objectives, and performance measures; (3) program design and implementation; and (4) capabilities/competencies. The applicant should clearly delineate the connections between and among each of these sections. For example, the applicant should derive the goals and objectives directly from the problems to be addressed. Similarly, the project design section should clearly explain how the program's structure and activities will accomplish the goals and objectives identified in the previous section.

The following sections should be included as part of the program narrative:10

a. Statement of the Problem. Applicants should briefly describe the nature and scope of the problem that the program will address (e.g., access to legal representation, data

¹⁰ For information on subawards (including the details on proposed subawards that should be included in the application), see "Budget and Associated Documentation" under <u>Section D. Application and Submission Information</u>.

collection issues, gaps in youth services, etc.). The applicant should use data to provide evidence that the problem exists, demonstrate the size and scope of the problem, and document the effects of the problem on the target population and the larger community. Any data or research referenced in the narrative should include information about the source of the data and/or a citation. Applicants should describe the target population and any previous or current attempts to address the problem.

Applicants should describe any research or evaluation studies that relate to the problem and contribute to their understanding of its causes and potential solutions. While OJJDP expects applicants to review the research literature for relevant studies, they should also explore whether unpublished local sources of research or evaluation data are available.

b. Goals, Objectives, and Performance Measures. Applicants should describe the goals of the proposed program and identify its objectives. When formulating the program's goals and objectives, applicants should be cognizant of the performance measures that OJJDP will require successful applicants to provide.

Goals. Applicants should describe the program's intent to change, reduce, or eliminate the problem noted in the previous section and outline the project's goals.

Program Objectives. Applicants should explain how the program will accomplish its goals. Objectives are specific, quantifiable statements of the project's desired results. They should be clearly linked to the problem identified in the preceding section and measurable. (Examples of measurable objectives include the following: 15 policies, protocols, or practices developed and implemented to strengthen the collection, analysis, and reporting of data and data-driven decisionmaking; or 150 juvenile justice system staff trained on adolescent development and trauma-informed care.)

Performance Measures. OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award (see "<u>General Information About Post-Federal Award Reporting Requirements</u>" in <u>Section F. Federal Award Administration Information</u>). The performance data directly relate to the goals, objectives, and deliverables identified under "Goals, Objectives, and Deliverables" in <u>Section A. Program Description</u>.

Applicants should visit OJP's performance measurement page at <u>www.ojp.gov/performance</u> for an overview of performance measurement activities at OJP.

Performance measures for this solicitation are listed in <u>Appendix A: Performance</u> <u>Measures Table</u>.

The application should demonstrate the applicant's understanding of the performance data reporting requirements for this grant program and detail how the applicant will gather the required data should it receive funding.

Please note that applicants are **not** required to submit performance data with the application. Performance measures information is included as an alert that successful applicants will be required to submit performance data as part of the reporting requirements under an award.

OJJDP will require award recipients to submit semiannual performance metrics of relevant data through the <u>Data Reporting Tool</u>.

Note on Project Evaluations

An applicant that proposes to use award funds through this solicitation to conduct project evaluations should be aware that certain project evaluations (such as systematic investigations designed to develop or contribute to generalizable knowledge) may constitute "research" for purposes of applicable DOJ human subjects protection regulations. However, project evaluations that are intended only to generate internal improvements to a program or service, or are conducted only to meet OJP's performance measure data reporting requirements, likely do not constitute "research." Each applicant should provide sufficient information for OJP to determine whether the particular project it proposes would either intentionally or unintentionally collect and/or use information in such a way that it meets the DOJ definition of research that appears at 28 C.F.R. Part 46 ("Protection of Human Subjects").

Research, for purposes of human subjects protection for OJP-funded programs, is defined as "a systematic investigation, including research development, testing, and evaluation, designed to develop or contribute to generalizable knowledge." 28 C.F.R. 46.102(d).

For additional information on determining whether a proposed activity would constitute research for purposes of human subjects protection, applicants should consult the decision tree in the "Research and the protection of human subjects" section of the "<u>Requirements related to Research</u>" webpage of the "<u>Overview of Legal Requirements</u> <u>Generally Applicable to OJP Grants and Cooperative Agreements - FY 2018 Awards</u>," available through the OJP Funding Resource Center at <u>https://ojp.gov/funding/index.htm</u>.

Every prospective applicant whose application may propose a research or statistical component also should review the "Data Privacy and Confidentiality Requirements" section on that webpage.

c. Project Design and Implementation. Applicants should detail how the project will operate throughout the funding period and describe the strategies that they will use to achieve the goals and objectives identified in the previous section. Applicants should describe how they will complete the deliverables stated in the Goals, Objectives, and Deliverables section on page 6. OJJDP encourages applicants to select evidence-based practices for their programs.

This section should also include details regarding any leveraged resources (cash or inkind) from local sources to support the project and discuss plans for sustainability beyond the grant period.

Logic Model. Applicants should include a logic model that graphically illustrates how the performance measures are related to the project's problems, goals, objectives, and design. See sample logic models <u>here</u>. Applicants should submit the logic model as a separate attachment, as stipulated in Additional Attachments, page 25.

Timeline. Applicants should submit a realistic timeline or milestone chart that indicates major tasks associated with the goals and objectives of the project, assigns

responsibility for each, and plots completion of each task by month or quarter for the duration of the award, using "Year 1," "Month 1," "Quarter 1," etc., not calendar dates (see "Sample Project Timelines" <u>here</u>).

Applicants should submit the timeline as a separate attachment, as stipulated in Additional Attachments, page 25. On receipt of an award, the recipient may revise the timeline, based on training and technical assistance that OJJDP will provide.

d. Capabilities and Competencies. This section should describe the experience and capability of the applicant organization and any contractors or subgrantees that the applicant will use to implement and manage this effort and its associated federal funding, highlighting any previous experience implementing projects of similar design or magnitude. Applicants should highlight their experience/capability/capacity to manage subawards, including details on their system for fiscal accountability. Management and staffing patterns should be clearly connected to the project design described in the previous section. Applicants should describe the roles and responsibilities of project staff and explain the program's organizational structure and operations. Applicants should include a copy of an organizational chart showing how the organization operates, including who manages the finances; how the organization manages subawards, if there are any; and the management of the project proposed for funding.

Letters of Support/Memoranda of Understanding. If submitting a joint application, as described under Eligibility, page 1, applicants should provide signed and dated letters of support or memoranda of understanding for all key partners that include the following:

- Expression of support for the program and a statement of willingness to participate and collaborate with it.
- Description of the partner's current role and responsibilities in the planning process and expected responsibilities when the program is operational.
- Estimate of the percentage of time that the partner will devote to the planning and operation of the project.

Letters of support may be addressed to the OJJDP Administrator. Only letters of support that are submitted by the due date and with the full application will be considered during the review process.

- e. Résumés/curricula vitae of key personnel.
- f. Letters of support from key system actors and stakeholders.

4. Budget and Associated Documentation

The Budget Detail Worksheet and the Budget Narrative are now combined in a single document collectively referred to as the Budget Detail Worksheet. The Budget Detail Worksheet is a user-friendly, fillable, Microsoft Excel-based document designed to calculate totals. Additionally, the Excel workbook contains worksheets for multiple budget years that can be completed as necessary. **All applicants should use the Excel version when completing the proposed budget in an application, except in**

cases where the applicant does not have access to Microsoft Excel or experiences technical difficulties. If an applicant does not have access to Microsoft Excel or experiences technical difficulties with the Excel version, then the applicant should use the 508-compliant accessible Adobe Portable Document Format (PDF) version.

Both versions of the Budget Detail Worksheet can be accessed at https://ojp.gov/funding/Apply/Forms/BudgetDetailWorksheet.htm.

a. Budget Detail Worksheet

The Budget Detail Worksheet should provide the detailed computation for each budget line item, listing the total cost of each and showing how it was calculated by the applicant. For example, costs for personnel should show the annual salary rate and the percentage of time devoted to the project for each employee paid with grant funds. The Budget Detail Worksheet should present a complete itemization of all proposed costs.

For questions pertaining to budget and examples of allowable and unallowable costs, see the DOJ Grants Financial Guide at <u>https://ojp.gov/financialguide/DOJ/index.htm</u>.

b. Budget Narrative

The budget narrative should thoroughly and clearly describe <u>every</u> category of expense listed in the Budget Detail Worksheet. OJP expects proposed budgets to be complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities).

An applicant should demonstrate in its budget narrative how it will maximize cost effectiveness of award expenditures. Budget narratives should generally describe cost effectiveness in relation to potential alternatives and the goals of the project. For example, a budget narrative should detail why planned in-person meetings are necessary, or how technology and collaboration with outside organizations could be used to reduce costs, without compromising quality.

The budget narrative should be mathematically sound and correspond clearly with the information and figures provided in the Budget Detail Worksheet. The narrative should explain how the applicant estimated and calculated <u>all</u> costs, and how those costs are necessary to the completion of the proposed project. The narrative may include tables for clarification purposes, but need not be in a spreadsheet format. As with the Budget Detail Worksheet, the budget narrative should describe costs by year.

c. Information on Proposed Subawards (if any) and on Proposed Procurement Contracts (if any)

Applicants for OJP awards typically may propose to make *subawards*. Applicants also may propose to enter into procurement *contracts* under the award.

Whether an action—for federal grants administrative purposes—is a subaward or procurement contract is a critical distinction, as significantly different rules apply to subawards and procurement contracts. If a recipient enters into an agreement that is a subaward of an OJP award, specific rules apply—many of which are set by federal statutes and DOJ regulations; others by award conditions. These rules place particular

responsibilities on an OJP recipient for any subawards the OJP recipient may make. The rules determine much of what the written subaward agreement itself must require or provide. The rules also determine much of what an OJP recipient must do both before and after it makes a subaward. If a recipient enters into an agreement that is a procurement contract under an OJP award, a substantially different set of federal rules applies.

OJP has developed the following guidance documents to help clarify the differences between subawards and procurement contracts under an OJP award and outline the compliance and reporting requirements for each. This information can be accessed online at <u>https://ojp.gov/training/training.htm</u>.

- <u>Subawards under OJP Awards and Procurement Contracts under Awards: A</u> <u>Toolkit for OJP Recipients</u>.
- Checklist to Determine Subrecipient or Contractor Classification.
- Sole Source Justification Fact Sheet and Sole Source Review Checklist.

In general, the central question is the relationship between what the third party will do under its agreement with the recipient and what the recipient has committed (to OJP) to do under its award to further a public purpose (e.g., services the recipient will provide, products it will develop or modify, research or evaluation it will conduct). If a third party will provide some of the services the recipient has committed (to OJP) to provide, will develop or modify all or part of a product the recipient has committed (to OJP) to develop or modify, or will conduct part of the research or evaluation the recipient has committed (to OJP) to conduct, OJP will consider the agreement with the third party a *subaward* for purposes of federal grants administrative requirements. This will be true **even if** the recipient, for internal or other nonfederal purposes, labels or treats its agreement as a procurement, a contract, or a procurement contract. Neither

treats its agreement as a procurement, a contract, or a procurement contract. Neither the title nor the structure of an agreement determines whether the agreement—for purposes of federal grants administrative requirements—is a *subaward* or is instead a procurement *contract* under an award. The substance of the relationship should be given greater consideration than the form of agreement between the recipient and the outside entity.

1. Information on proposed subawards

A recipient of an OJP award may not make subawards ("subgrants") unless the recipient has specific federal authorization to do so. Unless an applicable statute or DOJ regulation specifically authorizes (or requires) subawards, a recipient must have authorization from OJP before it may make a subaward.

A particular subaward may be authorized by OJP because the recipient included a sufficiently detailed description and justification of the proposed subaward in the Program Narrative, Budget Detail Worksheet, and Budget Narrative as approved by OJP. If, however, a particular subaward is not authorized by federal statute or regulation, and is not approved by OJP, the recipient will be required, post-award, to request and obtain written authorization from OJP before it may make the subaward.

If an applicant proposes to make one or more subawards to carry out the federal award and program, the applicant should (1) identify (if known) the proposed subrecipient(s),

(2) describe in detail what each subrecipient will do to carry out the federal award and federal program, and (3) provide a justification for the subaward(s), with details on pertinent matters such as special qualifications and areas of expertise. Pertinent information on subawards should appear not only in the Program Narrative, but also in the Budget Detail Worksheet and Budget Narrative.

2. Information on proposed procurement contracts (with specific justification for proposed noncompetitive contracts over \$250,000)

Unlike a recipient contemplating a subaward, a recipient of an OJP award generally does not need specific prior federal authorization to enter into an agreement that—for purposes of federal grants administrative requirements—is considered a procurement contract, **provided that** (1) the recipient uses its own documented procurement procedures and (2) those procedures conform to applicable federal law, including the Procurement Standards of the (DOJ) Part 200 Uniform Requirements (as set out at 2 C.F.R. 200.317–200.326). The Budget Detail Worksheet and Budget Narrative should identify proposed procurement contracts. (As discussed above, subawards must be identified and described separately from procurement contracts.)

The Procurement Standards in the Part 200 Uniform Requirements, however, reflect a general expectation that agreements that (for purposes of federal grants administrative requirements) constitute procurement "contracts" under awards will be entered into on the basis of full and open competition. All noncompetitive (sole source) procurement contracts must meet the OJP requirements outlined at

https://ojp.gov/training/subawards-procurement.htm. If a proposed procurement contract would exceed the Simplified Acquisition Threshold—currently, \$250,000—a recipient of an OJP award may not proceed without competition unless and until the recipient receives specific advance authorization from OJP to use a noncompetitive approach for the procurement. An applicant that (at the time of its application) intends—without competition—to enter into a procurement contract that would exceed \$250,000 should include a detailed justification that explains to OJP why, in the particular circumstances, it is appropriate to proceed without competition.

If the applicant receives an award, sole source procurements that do not exceed the Simplified Acquisition Threshold (currently, \$250,000) must have written justification for the noncompetitive procurement action maintained in the procurement file. If a procurement file does not have the documentation that meets the criteria outlined in 2 C.F.R. 200, the procurement expenditures may not be allowable. Sole source procurement over the \$250,000 Simplified Acquisition Threshold must have prior approval from OJP using a Sole Source GAN. Written documentation justifying the noncompetitive procurement must be submitted with the GAN and maintained in the procurement file.

d. Preagreement Costs

For information on preagreement costs, see <u>Section B. Federal Award Information</u>.

5. Indirect Cost Rate Agreement (if applicable)

Indirect costs may be charged to an award only if:

- (a) The recipient has a current (unexpired) federally approved indirect cost rate; or
- (b) The recipient is eligible to use, and elects to use, the *de minimis* indirect cost rate described in the Part 200 Uniform Requirements, as set out at 2 C.F.R. 200.414(f).

An applicant with a current (unexpired) federally approved indirect cost rate is to attach a copy of the indirect cost rate agreement to the application. An applicant that does not have a current federally approved rate may request one through its cognizant federal agency, which will review all documentation and approve a rate for the applicant entity, or, if the applicant's accounting system permits, applicants may propose to allocate costs in the direct cost categories.

For assistance with identifying the appropriate cognizant federal agency for indirect costs, contact the Office of the Chief Financial Officer Customer Service Center at 800–458–0786 or at <u>ask.ocfo@usdoj.gov</u>. If DOJ is the cognizant federal agency, applicants may obtain information needed to submit an indirect cost rate proposal at <u>https://www.ojp.gov/funding/Apply/Resources/IndirectCosts.pdf</u>.

Certain OJP recipients have the option of electing to use the *de minimis* indirect cost rate. An applicant that is eligible to use the *de minimis* rate and that wishes to use the *de minimis* rate should attach written documentation to the application that advises OJP of both (1) the applicant's eligibility to use the *de minimis* rate and (2) its election to do so. If an eligible applicant elects the *de minimis* rate, costs must be consistently charged as either indirect or direct costs, but may not be double charged or inconsistently charged as both. The *de minimis* rate may no longer be used once an approved federally negotiated indirect cost rate is in place. (No entity that ever has had a federally approved negotiated indirect cost rate is eligible to use the *de minimis* rate.) For the "de minimis" rate requirements (including information on eligibility to elect to use the rate), see the Part 200 Uniform Requirements, at 2 C.F.R. 200.414(f).

6. Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high-risk status)

Every OJP applicant (other than an individual applying in his or her personal capacity) is required to download, complete, and submit the OJP Financial Management and System of Internal Controls Questionnaire (questionnaire) at

https://ojp.gov/funding/Apply/Resources/FinancialCapability.pdf as part of its application. The questionnaire helps OJP assess the financial management and internal control systems, and the associated potential risks of an applicant as part of the preaward risk assessment process.

The questionnaire should only be completed by financial staff most familiar with the applicant's systems, policies, and procedures in order to ensure that the correct responses are recorded and submitted to OJP. The responses on the questionnaire directly impact the preaward risk assessment and should accurately reflect the applicant's financial management and internal controls system at the time of the application. The preaward risk assessment is only one of multiple factors and criteria used in determining funding. However, a preaward risk assessment that indicates that an applicant poses a higher risk to OJP may affect the funding decision and/or result in additional reporting requirements, monitoring, special conditions, withholding of award funds, or other additional award requirements.

Among other things, the form requires each applicant to disclose whether it currently is designated "high risk" by a federal grant-making agency outside of DOJ. For purposes of this disclosure, high risk includes any status under which a federal awarding agency provides additional oversight due to the applicant's past performance, or other programmatic or financial concerns with the applicant. If an applicant is designated high risk by another federal awarding agency, the applicant must provide the following information:

- The federal awarding agency that currently designates the applicant high risk.
- The date the applicant was designated high risk.
- The high-risk point of contact at that federal awarding agency (name, phone number, and email address).
- The reasons for the high-risk status, as set out by the federal awarding agency.

OJP seeks this information to help ensure appropriate federal oversight of OJP awards. An applicant that is considered "high risk" by another federal awarding agency is not automatically disqualified from receiving an OJP award. OJP may, however, consider the information in award decisions, and may impose additional OJP oversight of any award under this solicitation (including through the conditions that accompany the award document).

7. Disclosure of Lobbying Activities

Each applicant must complete and submit this information. An applicant that expends any funds for lobbying activities is to provide all of the information requested on the form Disclosure of Lobbying Activities (SF-LLL) at https://ojp.gov/funding/Apply/Resources/Disclosure.pdf. An applicant that does not expend any funds for lobbying activities is to enter "N/A" in the text boxes for item 10 ("a. Name and

8. Documentation of Anticipated Benefit to Qualified Opportunity Zones (if applicable)

Address of Lobbying Registrant" and "b. Individuals Performing Services").

As is mentioned above, OJP will, as appropriate, give priority consideration in award decisions to applications that propose projects that will likely enhance public safety in federally designated QOZs. Each applicant proposing a project it anticipates will likely enhance public safety in one or more QOZs should provide a sufficient narrative explanation in order for OJP to identify clearly the public safety benefit the applicant anticipates that its project will have on a specified QOZ(s). The attachment(s) should be clearly labeled as addressing QOZs. The applicant may also include tables, charts, graphs, or other relevant illustrations that may be useful in explaining the manner in which the proposed project is anticipated to benefit a QOZ(s).

9. Applicant Disclosure and Justification – DOJ High-Risk Grantees¹¹ (if applicable)

An applicant that is designated as a DOJ High-Risk Grantee is to submit as a separate attachment to its application, information that OJP will use, among other pertinent information, to determine whether it will consider or select the application for an award under

¹¹ A "DOJ High-Risk Grantee" is a recipient that has received a DOJ High-Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible.

this solicitation. The file should be named "DOJ High-Risk Grantee Applicant Disclosure and Justification." (See also "Review Process" below, under Section E. Application Review Information, for a brief discussion of how such information may be considered in the application review process.)

OJP constantly seeks to optimize its investments in criminal- and juvenile justice-focused programs and activities, increase program effectiveness, and maximize the return – and program impact – from limited programmatic resources. Therefore, OJP may remove from consideration or not select for award a "DOJ High Risk Grantee" applicant that is determined to pose a substantial risk of program implementation failure. In making such determinations, OJP will consider one or more of the following factors: the applicant's lack of sufficient progress in addressing required corrective actions necessary for removal of the DOJ High Risk Grantee designation; the nature and severity of the issues leading to or accompanying the applicant's DOJ High Risk Grantee designation; or the applicant's expected ability to manage grant funds and achieve grant goals and objectives.

In this attachment, the applicant is to provide any additional information or justification – Reespecially with regard to corrective actions yet to be implemented (as of the application date) – that may help demonstrate how the applicant has addressed or otherwise mitigated such uncorrected matters, such that any negative impact on the proposed program and its implementation would be immaterial or would be significantly reduced or eliminated. (To the extent that the applicant believes that any of the information provided pursuant to this disclosure may be confidential in nature, the applicant should specifically identify it.)

10. Additional Attachments

Applicants should submit the following information, as stipulated in the cited pages, as attachments to their applications. While the materials listed below are not assigned specific point values, peer reviewers will, as appropriate, consider these items when rating applications. For example, reviewers will consider résumés and/or letters of support/ memoranda of understanding when assessing "capabilities/competencies." Peer reviewers will not consider any additional information that the applicant submits other than that specified below.

a. Applicant Disclosure of Pending Applications

Each applicant is to disclose whether it has (or is proposed as a subrecipient under) any pending applications for federally funded grants or cooperative agreements that (1) include requests for funding to support the same project being proposed in the application under this solicitation <u>and</u> (2) would cover any identical cost items outlined in the budget submitted to OJP as part of the application under this solicitation. The applicant is to disclose applications made directly to federal awarding agencies, and also applications for subawards of federal funds (e.g., applications to state agencies that will subaward ("subgrant") federal funds).

OJP seeks this information to help avoid inappropriate duplication of funding. Leveraging multiple funding sources in a complementary manner to implement comprehensive programs or projects is encouraged and is not seen as inappropriate duplication.

Each applicant that has one or more pending applications as described above is to provide the following information about pending applications submitted within the last 12 months:

- The federal or state funding agency.
- The solicitation name/project name.
- The point of contact information at the applicable federal or state funding agency.

Federal or State Funding Agency	Solicitation Name/Project Name	Name/Phone/Email for Point of Contact at Federal or State Funding Agency
DOJ/Office of Community Oriented Policing Services (COPS Office)	COPS Hiring Program	Jane Doe, 202/000-0000; j <u>ane.doe@usdoj.gov</u>
Health and Human Services/Substance Abuse and Mental Health Services Administration	Drug-Free Communities Mentoring Program/ North County Youth Mentoring Program	John Doe, 202/000-0000; john.doe@hhs.gov

Each applicant should include the table as a separate attachment to its application. The file should be named "Disclosure of Pending Applications." The applicant's legal name on the application must match the entity named on the disclosure of pending applications statement.

Any applicant that does not have any pending applications as described above is to submit, as a separate attachment, a statement to this effect: "[Applicant Name on SF-424] does not have (and is not proposed as a subrecipient under) any pending applications submitted within the last 12 months for federally funded grants or cooperative agreements (or for subawards under federal grants or cooperative agreements) that request funding to support the same project being proposed in this application to OJP and that would cover any identical cost items outlined in the budget submitted as part of this application."

b. Research and Evaluation Independence and Integrity

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. The applicant must demonstrate independence and integrity regarding both this proposed research and/or evaluation, and any current or prior related projects.

Each application should include an attachment that addresses **both** i. and ii. below.

- i. For purposes of this solicitation, each applicant is to document research and evaluation independence and integrity by including one of the following two items:
 - a. A specific assurance that the applicant has reviewed its application to identify any actual or potential apparent conflicts of interest (including through review of pertinent information on the principal investigator, any coprincipal investigators, and any subrecipients), and that the applicant has identified no

such conflicts of interest—whether personal or financial or organizational (including on the part of the applicant entity or on the part of staff, investigators, or subrecipients)—that could affect the independence or integrity of the research, including the design, conduct, and reporting of the research.

OR

- b. A specific description of actual or potential apparent conflicts of interest that the applicant has identified—including through review of pertinent information on the principal investigator, any coprincipal investigators, and any subrecipients-that could affect the independence or integrity of the research, including the design, conduct, or reporting of the research. These conflicts may be personal (e.g., on the part of investigators or other staff), financial, or organizational (related to the applicant or any subrecipient entity). Some examples of potential investigator (or other personal) conflict situations are those in which an investigator would be in a position to evaluate a spouse's work product (actual conflict), or an investigator would be in a position to evaluate the work of a former or current colleague (potential apparent conflict). With regard to potential organizational conflicts of interest, as one example, generally an organization would not be given an award to evaluate a project if that organization had itself provided substantial prior technical assistance to that specific project or a location implementing the project (whether funded by OJP or other sources), because the organization in such an instance might appear to be evaluating the effectiveness of its own prior work. The key is whether a reasonable person understanding all of the facts would be able to have confidence that the results of any research or evaluation project are objective and reliable. Any outside personal or financial interest that casts doubt on that objectivity and reliability of an evaluation or research product is a problem and must be disclosed.
- ii. In addition, for purposes of this solicitation, each applicant is to address possible mitigation of research integrity concerns by including, at a minimum, one of the following two items:
 - a. If an applicant reasonably believes that no actual or potential apparent conflicts of interest (personal, financial, or organizational) exist, then the applicant should provide a brief narrative explanation of how and why it reached that conclusion. The applicant also is to include an explanation of the specific processes and procedures that the applicant has in place, or will put in place, to identify and prevent (or, at the very least, mitigate) any such conflicts of interest pertinent to the funded project during the period of performance. Documentation that may be helpful in this regard may include organizational codes of ethics/conduct and policies regarding organizational, personal, and financial conflicts of interest. There is no guarantee that the plan, if any, will be accepted as proposed.

OR

b. If the applicant has identified actual or potential apparent conflicts of interest (personal, financial, or organizational) that could affect the independence and

integrity of the research, including the design, conduct, or reporting of the research, the applicant is to provide a specific and robust mitigation plan to address each of those conflicts. At a minimum, the applicant is expected to explain the specific processes and procedures that the applicant has in place, or will put in place, to identify and eliminate (or, at the very least, mitigate) any such conflicts of interest pertinent to the funded project during the period of performance. Documentation that may be helpful in this regard may include organizational codes of ethics/conduct and policies regarding organizational, personal, and financial conflicts of interest. There is no guarantee that the plan, if any, will be accepted as proposed.

OJP will assess research and evaluation independence and integrity based on considerations such as the adequacy of the applicant's efforts to identify factors that could affect the objectivity or integrity of the proposed staff and/or the applicant entity (and any subrecipients) in carrying out the research, development, or evaluation activity; and the adequacy of the applicant's existing or proposed remedies to control any such factors.

- c. Logic model (see page 18).
- d. Timeline or milestone chart (see page 19).
- e. Résumés/curricula vitae of all key personnel.
- f. Job descriptions outlining roles and responsibilities for all key positions.
- **g.** Letters of support/memoranda of understanding from partner organizations (see page 19).
- **h.** Letters of support from key system actors and stakeholders.
- i. Qualified Opportunity Zones attachment, if applicable.

How To Apply

Applicants must register in and submit applications through Grants.gov, a primary source to find federal funding opportunities and apply for funding. Find complete instructions on how to register and submit an application at https://www.grants.gov/web/grants/support.html. Applicants that experience technical difficulties during this process should call the Grants.gov Customer Support Hotline at 800–518–4726 or 606–545–5035, which operates 24 hours a day, 7 days a week, except on federal holidays.

Registering with Grants.gov is a one-time process; however, **processing delays may occur**, **and it can take several weeks** for first-time registrants to receive confirmation of registration and a user password. OJP encourages applicants to **register several weeks before** the application submission deadline. In addition, OJP urges applicants to submit applications at least 72 hours prior to the application due date to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

OJP strongly encourages all prospective applicants to sign up for Grants.gov email notifications regarding this solicitation at <u>https://www.grants.gov/web/grants/manage-subscriptions.html</u>. If

this solicitation is cancelled or modified, individuals who sign up with Grants.gov for updates will be automatically notified.

Browser Information: Grants.gov was built to be compatible with Internet Explorer. For technical assistance with Google Chrome or another browser, contact Grants.gov Customer Support.

Note on Attachments: Grants.gov has two categories of files for attachments: "mandatory" and "optional." OJP receives all files attached in both categories. Attachments are also labeled to describe the file being attached (e.g., Project Narrative, Budget Narrative, Other). Applicants should ensure that all required documents are attached in the correct Grants.gov category and are labeled correctly. Do not embed "mandatory" attachments within another file.

An applicant must use the **Add Attachment** button to attach a file to its application. Do not click the paperclip icon to attach files. This action will not attach the files to the application. After adding an attachment, select the **View Attachment** button to confirm you attached the correct file. To remove the file, select the **Delete Attachment** button.

An application can be checked for errors via the **Check Application** button on the **Forms** tab of the **Manage Workspace** page. The button is active if the set of forms in the workspace matches those required in the application package. If you receive a **Cross-Form Errors** message after clicking the **Check Application** button, refer to the Cross-Form Errors help article for more detailed information about this validation error.

Note on File Names and File Types: Grants.gov <u>only</u> permits the use of <u>certain specific</u> characters in the file names of attachments. Valid file names may include <u>only</u> the characters shown in the table below. Grants.gov rejects any application that includes an attachment(s) with a file name that contains <u>any</u> characters not shown in the table below. Grants.gov forwards successfully submitted applications to the OJP Grants Management System (GMS).

Characters	Special Characters		
Upper case (A – Z)	Parenthesis ()	Curly braces { }	Square brackets []
Lower case $(a - z)$	Ampersand (&)*	Tilde (~)	Exclamation point (!)
Underscore () Hyphen (-)	Comma (,)	Semicolon (;)	Apostrophe (')
Space	At sign (@)	Number sign (#)	Dollar sign (\$)
Period (.)	Percent sign (%)	Plus sign (+)	Equal sign (=)

*When using the ampersand (&) in XML, applicants must use the "&" format.

GMS does not accept executable file types as application attachments. These disallowed file types include, but are not limited to, the following extensions: ".com," ".bat," ".exe," ".vbs," ".cfg," ".dat," ".db," ".dbf," ".dll," ".ini," ".log," ".ora," ".sys," and ".zip." GMS may reject applications with files that use these extensions. It is important to allow time to change the type of file(s) if the application is rejected.

All applicants are required to complete the following steps:

Unique Entity Identifier (D-U-N-S Number) and System for Award Management

Every applicant entity must comply with all applicable SAM and unique entity identifier (currently, a D-U-N-S number) requirements. SAM is the repository for certain standard information about federal financial assistance applicants, recipients, and subrecipients. A D-U-N-S number is a unique nine-digit identification number provided by the commercial company Dun and Bradstreet. More detailed information about SAM and the D-U-N-S number is in the numbered sections below.

If an applicant entity has not fully complied with the applicable SAM and unique identifier requirements by the time OJP makes award decisions, OJP may determine that the applicant is not qualified to receive an award and may use that determination as a basis for making the award to a different applicant.

Registration and Submission Steps

 Acquire a unique entity identifier (currently, a D-U-N-S number). The Office of Management and Budget requires every applicant for a federal award (other than an individual) to include a "unique entity identifier" in each application, including an application for a supplemental award. Currently, a D-U-N-S number is the required unique entity identifier.

This unique entity identifier is used for tracking purposes, and to validate address and point of contact information for applicants, recipients, and subrecipients. It will be used throughout the life cycle of an OJP award. Obtaining a D-U-N-S number is a free, one-time activity. Call Dun and Bradstreet at 866–705–5711 to obtain a D-U-N-S number or apply online at https://www.dnb.com/. A D-U-N-S number is usually received within 2 business days.

2. Acquire or maintain registration with SAM. Any applicant for an OJP award creating a new entity registration (or updating or renewing a registration) in SAM.gov must submit an original, signed notarized letter appointing the authorized Entity Administrator within thirty (30) days of the registration activation. Notarized letters must be submitted via U.S. Postal Service Mail. Read the Alert at <u>sam.gov/SAM/</u> to learn more about what is required in the notarized letter, and read the Frequently Asked Questions (FAQs) at <u>www.gsa.gov/samupdate</u> to learn more about this process change. All applicants for OJP awards (other than individuals) must maintain current registrations in the SAM database. Applicants will need the authorizing official of the organization and an Employer Identification Number (EIN). Information about SAM registration procedures can be accessed at <u>sam.gov/SAM/</u>.

An application cannot be successfully submitted in Grants.gov until Grants.gov receives the SAM registration information. Once the SAM registration/renewal is complete, **the information transfer from SAM to Grants.gov can take as long as 48 hours.** OJP recommends that the applicant register or renew registration with SAM as early as possible.

3. Acquire an Authorized Organization Representative (AOR) and a Grants.gov username and password. Complete the AOR profile on Grants.gov and create a username and password. An applicant entity's "unique entity identifier" (D-U-N-S number) must be used to complete this step. For more information about the registration process for organizations and other entities, go to https://www.grants.gov/web/grants/applicants/organization-registration.html.

- 4. Acquire confirmation for the AOR from the E-Business Point of Contact (E-Biz POC). The E-Biz POC at the applicant organization must log into Grants.gov to confirm the applicant organization's AOR. The E-Biz POC will need the Marketing Partner Identification Number (MPIN) password obtained when registering with SAM to complete this step. Note that an organization can have more than one AOR.
- 5. Search for the funding opportunity on Grants.gov. Use the following identifying information when searching for the funding opportunity on Grants.gov. The Catalog of Federal Domestic Assistance (CFDA) number for this solicitation is 16.836, titled "Indigent Defense," and the funding opportunity number is OJJDP-2019-14927.
- 6. Select the correct Competition ID. Some OJP solicitations posted to Grants.gov contain multiple purpose areas, denoted by the individual Competition ID. If applying to a solicitation with multiple Competition IDs, select the appropriate Competition ID for the intended purpose area of the application.
 - For Category 1, the Competition ID is OJJDP-2019-16209.
 - For Category 2, the Competition ID is OJJDP-2019-16210.
- 7. Access funding opportunity and application package from Grants.gov. Select "Apply for Grants" under the "Applicants" column. Enter your email address to be notified of any changes to the opportunity package before the closing date. Click the Workspace icon to use Grants.gov Workspace.
- 8. Submit a valid application consistent with this solicitation by following the directions in Grants.gov. To preview the application prior to (or after) submitting, go to the View Application tab in Workspace. For additional information, review the <u>View Application Tab</u> help article and <u>Attachments Tab</u> help article. Within 24–48 hours after submitting the electronic application, the applicant should receive two notifications from Grants.gov. The first will confirm the receipt of the application. The second will state whether the application has been validated and successfully submitted, or whether it has been rejected due to errors, with an explanation. It is possible to first receive a message indicating that the application is received, and then receive a rejection notice a few minutes or hours later. Submitting an application well ahead of the deadline provides time to correct the problem(s) that caused the rejection. <u>Important:</u> OJP urges each applicant to submit its application at least 72 hours prior to the application due date, to allow time to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification. Applications must be successfully submitted through Grants.gov by 11:59 p.m. ET on May 8, 2019.

Go to <u>https://www.grants.gov/web/grants/applicants/organization-registration.html</u> for further details on D-U-N-S numbers, SAM, and Grants.gov registration steps and timeframes.

Note: Application Versions

If an applicant submits multiple versions of the same application, OJP will review <u>only</u> the most recent system-validated version submitted.

Experiencing Unforeseen Grants.gov Technical Issues

An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must contact the Grants.gov Customer

Support Hotline at <u>https://www.grants.gov/web/grants/support.html</u> or the SAM Help Desk (Federal Service Desk) at <u>https://www.fsd.gov/fsd-gov/home.do</u> to report the technical issue and receive a tracking number. The applicant must email the Response Center at <u>grants@ncjrs.gov</u> **within 24 hours after the application deadline** to request approval to submit its application after the deadline. The applicant's email must describe the technical difficulties and must include a timeline of the applicant's submission efforts, the complete grant application, the applicant's D-U-N-S number, and any Grants.gov Help Desk or SAM tracking number(s).

Note: OJP does not automatically approve requests to submit a late application. After OJP reviews the applicant's request, and contacts the Grants.gov or SAM Help Desk to verify the reported technical issues, OJP will inform the applicant whether the request to submit a late application has been approved or denied. If OJP determines that the untimely application submission was due to the applicant's failure to follow all required procedures, OJP will deny the applicant's request to submit its application.

The following conditions generally are insufficient to justify late submissions:

- Failure to register in SAM or Grants.gov in sufficient time. (SAM registration and renewal can take as long as 10 business days to complete. The information transfer from SAM to Grants.gov can take up to 48 hours.)
- Failure to follow Grants.gov instructions on how to register and apply as posted on its website.
- Failure to follow each instruction in the OJP solicitation.
- Technical issues with the applicant's computer or information technology environment, such as issues with firewalls or browser incompatibility.

Notifications regarding known technical problems with Grants.gov, if any, are posted at the top of the OJP Funding Resource Center at <u>https://ojp.gov/funding/index.htm</u>.

E. Application Review Information

Review Criteria

Applications that meet basic minimum requirements will be evaluated by peer reviewers using the following review criteria.

- 1. Statement of the Problem (10%)
- 2. Goals, Objectives, and Performance Measures (10%)
- 3. Project Design and Implementation (45%)
- 4. Capabilities and Competencies (25%)
- 5. Budget (10%): complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities). Budget narratives should demonstrate generally how applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the goals of the project.¹²

¹² Generally speaking, a reasonable cost is a cost that, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the costs.

See What an Application Should Include, page 14, for the criteria that the peer reviewers will use to evaluate applications.

Review Process

OJP is committed to ensuring a fair and open process for making awards. OJJDP reviews the application to make sure that the information presented is reasonable, understandable, measurable, and achievable, as well as consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. For purposes of assessing whether an application meets basic minimum requirements and should proceed to further consideration, OJP screens applications for compliance with those requirements. Although specific requirements may vary, the following are common requirements applicable to all solicitations for funding under OJP programs:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within programmatic funding constraints (if applicable).
- The application must be responsive to the scope of the solicitation.
- The application must include all items designated as critical elements.

For a list of the critical elements for this solicitation, see "What an Application Should Include" under <u>Section D. Application and Submission Information.</u>

Peer review panels will evaluate, score, and rate applications that meet basic minimum requirements. OJJDP may use internal peer reviewers, external peer reviewers, or a combination, to assess applications on technical merit using the solicitation's review criteria. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. An external peer reviewer is an expert in the subject matter of a given solicitation who is not a current DOJ employee. Peer reviewers' ratings and any resulting recommendations are advisory only, although reviewer views are considered carefully. Other important considerations for OJJDP include geographic diversity, strategic priorities (specifically including, but not limited to, demonstrable potential enhancement to public safety in one or more federally designated QOZs), and available funding, as well as the extent to which the budget detail worksheet and budget narrative accurately explain project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in SAM as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the nonpublic segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System (FAPIIS)).

Important note on FAPIIS: An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding

agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

The evaluation of risks goes beyond information in SAM, however. OJP itself has in place a framework for evaluating risks posed by applicants for competitive awards. OJP takes into account information pertinent to matters such as—

- 1. Applicant financial stability and fiscal integrity.
- Quality of the applicant's management systems, and the applicant's ability to meet prescribed management standards, including those outlined in the DOJ Grants Financial Guide.
- 3. Applicant's history of performance under OJP and other DOJ awards (including compliance with reporting requirements and award conditions), as well as awards from other federal agencies.
- 4. Reports and findings from audits of the applicant, including audits under the Part 200 Uniform Requirements.
- 5. Applicant's ability to comply with statutory and regulatory requirements, and to effectively implement other award requirements.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may take into account not only peer review ratings and OJJDP recommendations, but also other factors as indicated in this section.

F. Federal Award Administration Information

Federal Award Notices

Award notifications will be made by September 30, 2019. OJP sends award notifications by email through GMS to the individuals listed in the application as the point of contact and the authorizing official (E-Biz POC and AOR). The email notification includes detailed instructions on how to access and view the award documents, and steps to take in GMS to start the award acceptance process. GMS automatically issues the notifications at 9 p.m. ET on the award date.

For each successful applicant, an individual with the necessary authority to bind the applicant will be required to log in; execute a set of legal certifications and a set of legal assurances; designate a financial point of contact; thoroughly review the award, including all award conditions; and sign and accept the award. The award acceptance process requires a physical signature on the award document by the authorized representative. The fully executed award document must then be scanned and submitted to OJP.

Administrative, National Policy, and Other Legal Requirements

If selected for funding, in addition to implementing the funded project consistent with the OJPapproved application, the recipient must comply with all award conditions, as well as all applicable requirements of federal statutes and regulations (including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance). OJP strongly encourages prospective applicants to review information on post-award legal requirements and common OJP award conditions **prior** to submitting an application. Applicants should consult the "<u>Overview of Legal Requirements Generally Applicable to OJP</u> <u>Grants and Cooperative Agreements - FY 2018 Awards</u>," available in the OJP Funding Resource Center at <u>https://ojp.gov/funding/index.htm</u>. In addition, applicants should examine the following two legal documents, as each successful applicant must execute both documents before it may receive any award funds. (An applicant is not required to submit these documents as part of an application.)

- <u>Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility</u> <u>Matters; and Drug-Free Workplace Requirements</u>
- Certified Standard Assurances

The webpages accessible through the "<u>Overview of Legal Requirements Generally Applicable to</u> <u>OJP Grants and Cooperative Agreements - FY 2018 Awards</u>" are intended to give applicants for OJP awards a general overview of important statutes, regulations, and award conditions that apply to many (or in some cases, all) OJP grants and cooperative agreements awarded in FY 2019. Individual OJP awards typically also will include additional award conditions. Those additional conditions may relate to the particular statute, program, or solicitation under which the award is made; to the substance of the funded application; to the recipient's performance under other federal awards; to the recipient's legal status (e.g., as a for-profit entity); or to other pertinent considerations.

As stated above, *[Insert BPO]* expects that it will make any award under Category 2 in this solicitation in the form of a cooperative agreement. Cooperative agreements include a condition in the award document that sets out the nature of the "substantial federal involvement" in carrying out the award and program. Generally stated, under OJP cooperative agreement awards, responsibility for the day-to-day conduct of the funded project rests with the recipient. OJP, however, may have substantial involvement in matters such as substantive coordination of technical efforts and site selection, as well as review and approval of project work plans, research designs, data collection instruments, and major project-generated materials. In addition, OJP often indicates in the award terms and conditions that it may redirect the project if necessary.

In addition to an award condition that sets out the nature of the anticipated "substantial federal involvement" in the award, cooperative agreements awarded by OJP include an award condition that requires specific reporting in connection with conferences, meetings, retreats, seminars, symposia, training activities, or similar events funded under the award.

Awards under this solicitation will include a condition (the specific terms of which will govern the award) related to verification of employment eligibility. The condition will, generally speaking, require the recipient (and any subrecipient) that accepts the award to verify the employment eligibility of any individual hired under the award, consonant with 8 U.S.C. § 1324a(a)(1).

Awards under this solicitation will include a condition (the specific terms of which will govern the award) related to competition requirements set forth at 2 C.F.R. § 200.319. The condition will, generally speaking, prohibit recipients (and any subrecipients) from procuring goods and services with award funds by means of any competition that disadvantages or excludes vendors on the basis of their having (or their having had) a prior or existing contractual relationship with the federal government.

General Information About Post-Federal Award Reporting Requirements

In addition to the deliverables described in <u>Section A. Program Description</u>, any recipient of an award under this solicitation will be required to submit the following reports and data.

<u>Required reports</u>. Recipients typically must submit quarterly financial reports, semi-annual progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

Awards that exceed \$500,000 will include an additional condition that, under specific circumstances, will require the recipient to report (to FAPIIS) information on civil, criminal, and administrative proceedings connected with (or connected to the performance of) either the OJP award or any other grant, cooperative agreement, or procurement contract from the federal government. Additional information on this reporting requirement appears in the text of the award condition posted on the OJP webpage at https://ojp.gov/funding/FAPIIS.htm.

<u>Data on performance measures.</u> In addition to required reports, each award recipient also must provide data that measure the results of the work done under the award. To demonstrate program progress and success, as well as to assist DOJ in fulfilling its responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103–62, and the GPRA Modernization Act of 2010, Public Law 111–352, OJP will require any award recipient, post award, to provide performance data as part of regular progress reporting. Successful applicants will be required to access OJP's performance measurement page at <u>www.oip.gov/performance</u> for an overview of performance measurement activities at OJP. Performance measures for this program are also listed in <u>Appendix A</u>.

G. Federal Awarding Agency Contact(s)

For OJP contact(s), see the title page.

For contact information for Grants.gov, see the title page.

H. Other Information

Freedom of Information Act and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)

All applications submitted to OJP (including all attachments to applications) are subject to the federal Freedom of Information Act (FOIA) and to the Privacy Act. By law, DOJ may withhold information that is responsive to a request pursuant to FOIA if DOJ determines that the responsive information either is protected under the Privacy Act or falls within the scope of one of nine statutory exemptions under FOIA. DOJ cannot agree in advance of a request pursuant to FOIA not to release some or all portions of an application.

In its review of records that are responsive to a FOIA request, OJP will withhold information in those records that plainly falls within the scope of the Privacy Act or one of the statutory exemptions under FOIA. (Some examples include certain types of information in budgets, and names and contact information for project staff other than certain key personnel.) In appropriate

circumstances, OJP will request the views of the applicant/recipient that submitted a responsive document.

For example, if OJP receives a request pursuant to FOIA for an application submitted by a nonprofit or for-profit organization or an institution of higher education, or for an application that involves research, OJP typically will contact the applicant/recipient that submitted the application and ask it to identify—quite precisely—any particular information in the application that the applicant/recipient believes falls under a FOIA exemption, the specific exemption it believes applies, and why. After considering the submission by the applicant/recipient, OJP makes an independent assessment regarding withholding information. OJP generally follows a similar process for requests pursuant to FOIA for applications that may contain law-enforcement-sensitive information.

Provide Feedback to OJP

To assist OJP in improving its application and award processes, OJP encourages applicants to provide feedback on this solicitation, the application submission process, and/or the application review process. Provide feedback to <u>OJPSolicitationFeedback@usdoj.gov</u>.

IMPORTANT: This email is for feedback and suggestions only. OJP does **not** reply from this mailbox to messages it receives in this mailbox. Any prospective applicant that has specific questions on any program or technical aspect of the solicitation **must** use the appropriate telephone number or email listed on the front of this document to obtain information. These contacts are provided to help ensure that prospective applicants can directly reach an individual who can address specific questions in a timely manner.

If you are interested in being a reviewer for other OJP grant applications, email your résumé to <u>ojpprsupport@usdoj.gov</u>. (Do not send your résumé to the OJP Solicitation Feedback email account.) **Note:** Neither you nor anyone else from your organization or entity can be a peer reviewer in a competition in which you or your organization/entity has submitted an application.

Appendix A: Performance Measures Table

Objective	Performance Measure(s)	Description	Data Recipient Provides
Focus Area 1: Allow states and localities to develop and implement specialized training for staff and stakeholders involved with assisting youth offenders within the juvenile justice system.	Number of planning or training events held during the reporting period.	Number of planning or training activities held during the reporting period. Planning and training activities include creation of task forces or interagency committees, meetings held, needs assessments undertaken, etc. Program records are the preferred data source.	A. Number of planning activities held during the reporting period. B. Number of training activities held during the reporting period.
	Number of people trained during the reporting period.	Number of people trained during the reporting period. The number is the raw number of people receiving any formal training relevant to a program, systems initiative, policy, best practices, or their position as program staff. Include any training from any source or medium received during the reporting period as long as receipt of training can be verified. Training does not have to have been completed during the reporting period. Program records are the preferred data source.	Number of people trained during the reporting period.
	Number and percent of training recipients with increased knowledge of program area.	Number of people who exhibit an increased knowledge of the program area after participating in training. Use of pre- and post-tests is preferred.	A. Number of people trained during the reporting period who report increased knowledge. B. Number of people trained during the reporting period and returning surveys. C. Percent (A/B).
	Percent of organizations reporting improvements in operations based on training and technical assistance (TTA).	Number and percent of organizations reporting improvements in operations as a result of TTA 1–6 months post-service.	A. Number of organizations reporting improvements in operations based on TTA during the reporting period. B. Number of organizations served by TTA during the reporting period. C. Percent (A/B).

Category 1: State and Local Juvenile Defense System Enhancements

	Percent of those served by TTA who reported implementing an evidence-based program and/or practice during or after the TTA.	Number and percent of programs served by TTA that reported implementing an evidence-based program and/or practice during or after the TTA. Evidence-based programs and practices include program models that have been shown, through rigorous evaluation and replication, to be effective at preventing or reducing juvenile delinquency or related risk factors, such as substance abuse.	A. Number of programs served by TTA that reported using an evidence-based program and/or practice. B. Number of programs served by TTA. C. Percent of programs served by TTA that report using an evidence-based program and/or practice (A/B).
Focus Area 2: Improve state and locality juvenile courts' data collection and reporting capacity, specifically relating to youth and their legal representation and access to services pre- and post- adjudication.	Number of memoranda of understanding (MOU) implemented.	Number of MOU implemented during the reporting period. Include all formal partnerships or coordination agreements. Only count the date when the MOU was officially signed by all participants. Program records are the preferred data source.	Number of MOU implemented during the reporting period.
	Number of programs and/or agencies that are sharing automated data during the reporting period.	Number of programs and/or agencies using automated data systems that enable the appropriate sharing of justice information with partners during the reporting period. Only count the first time a program(s) and/or agency share justice information. A program and/or agency may have multiple partnerships to share justice information. Count each partnership between a program and/or agency separately.	Number of programs and/or agencies sharing automated data.
	Percent change in youth represented by counsel.	Percent change in the number of youth represented by counsel during the reporting period compared to prior to the grant start date. Count the number of youth represented by counsel during the reporting period under the authority of your jurisdiction's juvenile court. Representation can be defined as a public defender, appointed counsel, contract	 A. Total number of youth represented by counsel (at any hearing) within your jurisdiction during the 6 months prior to your grant start date (baseline measure). B. Total number of youth represented by counsel (at any hearing) within your jurisdiction during the reporting period.

Focus Area 3:	Number of system	counsel, or private representation at every stage of the proceedings. Count youth who are represented at any phase of the delinquency process, including arraignment, pre-trial detention hearings, discovery, trial, pleas, and disposition. If a youth is represented by counsel during the reporting period for more than one case, count that youth only once. Only enter the baseline number during the first reporting period. The baseline number is the total number of youth represented by counsel in your jurisdiction during the 6 months prior to the grant award start date. Program records are the preferred data source.	C. Number of new youth represented by counsel (at any hearing) within your jurisdiction during the reporting period. D. Number of youth represented by counsel (at any hearing) within your jurisdiction carried over from the previous reporting period. E. Number of youth within your jurisdiction who waived counsel during the reporting period. F. Percent change ((B- A)/A).
Assess juvenile justice delivery systems related to youth offenders' access to services by identifying critical needs and gaps in service, areas for improvement, and areas that are working and may be replicable and/or scalable across jurisdictions.	Number of system planning activities conducted.	Number of system planning activities undertaken during the reporting period. System planning activities focus on system-level initiatives and include creation of task forces or interagency committees, meetings held, needs assessments undertaken, etc. Program records are the preferred data source.	Number of system planning activities undertaken.
	Number of systems that have established interagency planning bodies.	Number of planning bodies or interagency coordinating groups established during the reporting period. Only count the first time the planning body or interagency coordinating group meets. Program records are the preferred data source.	Number of planning bodies or interagency coordinating groups established during the reporting period.
Focus Area 4: Allow states and localities to enhance an identified need or gap within their	Number of agency policies or procedures created,	Number of cross-program or agency policies or procedures created, amended, or rescinded during the reporting period. A policy is a plan or	A. Number of new cross- program or agency policies or procedures enacted during the reporting period.

juvenile defense	amended, or	specific course of action that	B. Number of cross-
system that impacts youth.	rescinded.	guides the general goals and directives of the programs and/or agencies. Include policies that are relevant to the cross-programs or agencies that affect program operations. Program records are the preferred data source.	program or agency policies or procedures revised during the reporting period. C. Number of cross- program or agency policies or procedures rescinded during the reporting period.
	Number of system-level initiatives implemented during the reporting period.	Number of system-level initiatives implemented during the reporting period. Only count a system-level initiative as implemented on the date a new policy or practice is first put into operation to implement the new initiative. If your jurisdiction is implementing more than one system-level initiative during a reporting period, count each initiative separately. If significant changes are made to an original initiative design during the award cycle, count each time a new policy or practice is put into operation during a reporting period. A system-level initiative includes changing policies and practices to how the jurisdiction's juvenile justice system operates. System-level initiatives impact multiple stakeholders, agencies, and organizations. System-level initiatives do not include starting a new program, unless the new program is in response to a change in policy or practice. Program records are the preferred data source.	Number of system-level initiatives implemented during the reporting period.
	Number of new, revised, or rescinded system- level policies or procedures enacted during	The number of new, revised, or rescinded system-level policies enacted during the reporting period. A policy is a plan or specific course of action that guides the general goals and directives of programs and/or	 A. Number of new system- level policies or procedures enacted during the reporting period. B. Number of system-level policies or procedures

the reporting period.	agencies. Include policies that are relevant to the system improvement initiatives or that affect system-level operations. Program records are the preferred data source.	revised during the reporting period. C. Number of system-level policies or procedures rescinded during the reporting period.
Number of cross- sector partnerships established.	Number of partnerships established and maintained during the reporting period to support system improvement efforts, by type of partner agency/organization. Partner organizations may include schools, law enforcement officials, prosecutors, defense counsel, judges, jail officials, corrections officials, public and private service providers, local public interest groups, and healthcare providers. Program records are the preferred data source.	A. Number of new partnerships established during the reporting period. B. Number of partnerships ended during the reporting period. C. Number of partnerships continued from the previous reporting period. D. Number of new partnerships established with schools during the reporting period. E. Number of new partnerships established with law enforcement agencies during the reporting period. F. Number of new partnerships established with prosecutors during the reporting period. G. Number of new partnerships established with defense council during the reporting period. H. Number of new partnerships established with judges during the reporting period. I. Number of new partnerships established with judges during the reporting period. J. Number of new partnerships established with jail officials during the reporting period. J. Number of new partnerships established with jail officials during the reporting period. J. Number of new partnerships established with jail officials during the reporting period. J. Number of new partnerships established with public and private service providers during the reporting period. L. Number of new partnerships established with public and private service providers during the reporting period. L. Number of new partnerships established with public and private

		during the reporting
		period. M. Number of new
		partnerships established
		with healthcare providers
		during the reporting period.
		N. Number of new
		partnerships established
		with other groups or
		organizations during the reporting period.
Percent change in	Percent change in the number	A. Total number of youth
youth represented	of youth represented by	represented by counsel (at
by counsel.	counsel during the reporting	any hearing) within your
	period compared to prior to the grant start date. Count the	jurisdiction during the 6
	number of youth represented	months prior to your grant start date (baseline
	by counsel during the reporting	measure).
	period under the authority of	B. Total number of youth
	your jurisdiction's juvenile court. Representation can be	represented by counsel (at any hearing) within your
	defined as a public defender,	jurisdiction during the
	appointed counsel, contract	reporting period.
	counsel, or private	C. Number of new youth
	representation at every stage	represented by counsel (at
	of the proceedings.	any hearing) within your jurisdiction during the
	Count youth who are	reporting period.
	represented at any phase of	D. Number of youth
	the delinquency process,	represented by counsel (at
	including arraignment, pre-trial detention hearings, discovery,	any hearing) within your jurisdiction carried over
	trial, pleas, and disposition.	from the previous reporting
		period.
	If a youth is represented by counsel during the reporting	E. Number of youth within your jurisdiction who
	period for more than one case,	waived counsel during the
	count that youth only once.	reporting period.
		F. Percent change ((B-
	Only enter the baseline number during the first	A)/A).
	reporting period. The baseline	
	number is the total number of	
	youth represented by counsel	
	in your jurisdiction during the 6 months prior to the grant	
	award start date.	
	Program records are the	
	preferred data source.	

Category 2: Juvenile Defense Training and Technical Assistance

Objective	Performance Measure(s)	Description	Data Recipient Provides
Improve juvenile defense delivery systems and juvenile defense practice through	Number of training requests received.	Number of training requests received during the reporting period. Requests can come from individuals or organizations served.	Number of training requests received during the reporting period.
training and technical assistance to state and local defender services and individual defenders.	Number of technical assistance requests received.	Number of technical assistance requests received during the reporting period. Requests can come from individuals or organizations served.	Number of technical assistance requests received during the reporting period.
	Number of program materials developed during the reporting period.	Number of program materials that were developed during the reporting period. Include only substantive materials such as program overviews, client workbooks, and lists of local service providers. Do not include program advertisements or administrative forms such as sign-in sheets or client tracking forms. Count the number of pieces developed. Program records are the preferred data source.	Number of program materials developed.
	Number of planning or training events held during the reporting period.	Number of planning or training activities held during the reporting period. Planning and training activities include creation of task forces or interagency committees, meetings held, needs assessments undertaken, etc. Program records are the preferred data source.	Number of planning or training activities held during the reporting period.
	Number of people trained during the reporting period.	Number of people trained during the reporting period. The number is the raw number of people receiving any formal training relevant to the program or their position as program staff. Include any training from any source or medium received during the	Number of people trained.

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Number of program policies changed, improved, or rescinded during the reporting period.	reporting period as long as receipt of training can be verified. Training does not have to have been completed during the reporting period. Program records are the preferred data source. Number of cross-program or agency policies or procedures changed, improved, or rescinded during the reporting period. A policy is a plan or specific course of action that guides the general goals and directives of programs and/or agencies. Include policies that are relevant to the topic area of the program or that affect program operations. Program records are the preferred data source.	A. Number of program policies changed during the reporting period. B. Number of program policies rescinded during the reporting period.
Percent of people exhibiting an increased knowledge of the program area during the reporting period.	Number of people who exhibit an increased knowledge of the program area after participating in training. Use of pre- and post-tests is preferred.	 A. Number of people exhibiting an increase in knowledge post-training. B. Number of people trained during the reporting period. C. Percent of people trained who exhibited increased knowledge (A/B).
Percent of organizations reporting improvements in operations based on training and technical assistance (TTA).	Number and percent of organizations reporting improvements in operations as a result of TTA 1 to 6 months post-service.	A. Number of organizations reporting improvements in operations based on TTA during the reporting period. B. Number of organizations served by TTA during the reporting period. C. (A/B).
Percent of those served by TTA who reported implementing an evidence-based program and/or practice during or after the TTA.	Number and percent of programs served by TTA that reported implementing an evidence-based program and/or practice during or after the TTA. Evidence-based programs and practices include program models that have been shown, through rigorous evaluation and replication, to be effective at preventing or reducing juvenile delinquency or related risk factors, such as substance use.	A. Number of programs served by TTA that reported using an evidence-based program and/or practice. B. Number of programs served by TTA. C. Percent of programs served by TTA that reported using an evidence-based program and/or practice (A/B).

Number of program materials disseminated during	Number of program materials disseminated during the reporting period.	Enter the number of program materials disseminated during the reporting period.
the reporting period.		

Appendix B: Application Checklist

OJJDP FY 2019 Enhancements for Juvenile Indigent Defense

This application checklist has been created as an aid in developing an application.

What an Applicant Should Do:

Prior to Registering in Grants.gov:	
Acquire a D-U-N-S Number	(see page 30)
Acquire or renew registration with SAM	(see page 30)
To Register with Grants.gov.	
Acquire AOR and Grants.gov username/password	(see page 30)
Acquire AOR confirmation from the E-Biz POC	(see page 31)
To Find Funding Opportunity:	
Search for the Funding Opportunity on Grants.gov	(see page 31)
Select the correct Competition ID	(see page 31)
Access Funding Opportunity and Application Package	(see page 31)
Sign up for Grants.gov email <u>notifications</u> (optional)	(see page 29)
Read Important Notice: Applying for Grants in Grants.gov	
Read OJP policy and guidance on conference approval, planning, and rep	•
available at ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3	<u>3.10a.htm</u>
	(see page 13)
After Application Submission, Receive Grants.gov Email Notifications That:	
(1) Application has been received	*0
(2) Application has either been successfully validated or rejected with erro	
	(see page 31)
If No Grants.gov Receipt, and Validation or Error Notifications are Received:	
Contact Grants.gov and/or SAM regarding technical difficulties. Refer to the	
Experiencing Unforeseen Grants.gov Technical Issues	(see page 32)
Contact the Response Center at <u>grants@ncjrs.gov</u> to request to submit th after the deadline because of unforeseen technical issues. Refer to the set	

Experiencing Unforeseen Grants.gov Technical Issues (see page 32)

Overview of Post-Award Legal Requirements:

Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2018 Awards" in the OJP Funding Resource Center at https://ojp.gov/funding/index.htm.

Scope Requirement:

_____ The federal amount requested is within the allowable limit(s) of:

\$364,393 for Category 1: State and Local Juvenile Defense System Enhancements. \$650,000 for Category 2: Juvenile Defense Training and Technical Assistance.

Eligibility Requirement:

Category 1: Eligible applicants are limited to states (including territories) and units of local government.

Category 2: Eligible applicants are limited to nonprofit¹³ and for-profit organizations (including tribal nonprofit and for-profit organizations) and institutions of higher education (including tribal institutions of higher education. For-profit organizations (as well as other recipients) must forgo any profit or management fee.

What an Application Should Include:

Application for Federal Assistance (SF-424)	(see page 15)
Intergovernmental Review Project Abstract	(see page 16)
Program Narrative	(see page 16)
Statement of the Problem	(see page 16)
Goals, Objectives, and Performance Measures	
Project Design and Implementation	
Capabilities and Competencies	(
Budget Detail Worksheet	(see page 20)
Budget Narrative	(see page 20)
Indirect Cost Rate Agreement (if applicable)	(see page 23)
Financial Management and System of Internal Controls Questionnaire	(
	(see page 24)
Disclosure of Lobbying Activities (SF-LLL)	(see page 24)
Documentation of Anticipated Benefit to federally designated Qualified Op	• •
Zones (if applicable)	(see page 25)
Applicant Disclosure and Justification – DOJ High-Risk Grantees (if applic	able) (see page 25)
Additional Attachments	(see page 25)
Applicant Disclosure of Pending Applications	
Research and Evaluation Independence and Integrity Logic model	
Timeline or milestone chart	
Résumés/curricula vitae of all key personnel	
Job descriptions outlining roles and responsibilities for all key posi	tions
Letters of support/memoranda of understanding from partner orga applicable)	
Letters of support from key system actors and stakeholders	
Request and Justification for Employee Compensation; Waiver (if applical	ole)

(see page 12)

¹³ See <u>ojp.gov/funding/Explore/SolicitationRequirements/OrganizationalRequirements.htm</u> for additional information on demonstrating nonprofit status.